



منظمة الأغذية
والزراعة
للأمم المتحدة

联合国
粮食及
农业组织

Food
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pour
l'alimentation
et
l'agriculture

Organización
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para la
Agricultura
y la
Alimentación

INTERIM COMMISSION ON PHYTOSANITARY MEASURES

Sixth Session

Rome, 29 March – 2 April 2004

Strategic Plan and Business Plan

Agenda Item 8.6 of the Provisional Agenda

Strategic Plan

1. The strategic plan was considered in detail by the Strategic Planning and Technical Assistance working group (SPTA). The SPTA recommended that the Plan be redrafted next year as part of a general review of the work programme, the Business Plan and the Strategic Plan. It was suggested that the revised plan be formatted in a way that clearly identified outcomes, goals and outputs. A section of the Plan should allow for reporting on achievements. Reports to the ICPM should be provided according to the Strategic Plan. As an interim measure the SPTA agreed to incorporate minor updates and edits into the existing plan (Annex 1).

Business Plan

2. The SPTA considered minor updates and edits to the Business Plan. It was noted that the Secretariat in consultation with the Bureau would prepare a revised budget based on the funding outcomes of FAO Conference for presentation to the ICPM as a separate agenda item. The SPTA concluded that a comprehensive review of the business plan should be undertaken in 2004 when the budget outcome of FAO Conference was known.

3. The SPTA recognised that there was a need to consider longer term funding strategies to address the continuing demand for standard setting and technical assistance. The SPTA agreed that long-term funding options should be analysed, taking into account the need for regular funding to maintain activities and staff and the fact that the IPPC budget is critically dependent on the overall priorities of FAO Members.

4. The SPTA recommended that a Focus Group meet in the middle of next year to undertake a comprehensive review of IPPC activities and to update the Strategic Plan and the Business Plan taking into account the budget situation. The SPTA also recommended that this Group consider long-term funding arrangements.

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5. The ICPM is invited to:
 1. *Note* the revised Strategic Plan at Annex 1.
 2. *Endorse* the proposal that a Focus Group undertake a review of the ICPM activities and update the Strategic and Business Plans for consideration by the SPTA in 2004 and the ICPM in 2005.
 3. *Endorse* the proposal that the Focus Group also analyse long-term funding options for consideration by the SPTA in 2004 and the ICPM in 2005.



Strategic Plan 2004

The Strategic Plan incorporating minor changes suggested by the SPTA is shown below in table form.

STRATEGIC DIRECTIONS AND GOALS

Strategic Direction No. 1: The development, adoption and monitoring of the implementation of International Standards for Phytosanitary Measures (ISPMs)

Setting international phytosanitary standards is a basic and unique role identified in the IPPC, particularly given the status accorded IPPC standards as a result of the WTO SPS Agreement. Internationally accepted phytosanitary standards form the basis for the harmonization of phytosanitary measures that protect natural and cultivated plant resources while ensuring fair and safe trade. An increased number of international standards is necessary to facilitate international trade as envisaged by the WTO SPS Agreement.

| Goals | Timing | Priority | Means |
|--|---------|----------|------------------------------|
| 1.1 Maintain an effective standard development and adoption system using the ICPM and SC | | | |
| 1.1.1 Increase the number of standards to meet targets established in the ICPM work programme | Ongoing | High | ICPM |
| 1.1.2 Develop specific standards where relevant concept standards are in place | Ongoing | High | ICPM |
| 1.1.3 Develop concept standards where necessary for the preparation of specific standards in priority areas | Ongoing | High | ICPM |
| 1.1.4 Involve RPPO cooperation in the development of ISPMs | Ongoing | Low | ICPM and Secretariat |
| 1.2 Improve the standard-setting mechanism | | | |
| 1.2.1 Establish "Guidelines on the establishment of commodity or pest-specific standards" | Ongoing | Medium | ICPM |
| 1.3 Ensure that ISPMs take account of the protection of the environment | | | |
| 1.3.1 Establish a mechanism to review standards to ensure they take account of the protection of the environment | Ongoing | High | ICPM, Bureau and Secretariat |
| 1.4 Increase transparency and participation in the standard-setting process | | | |
| 1.4.2 Develop efficient information sharing systems concerning standard-setting activities and procedures- | Ongoing | Medium | ICPM and Secretariat |

| | | | |
|--|---------|--------|------|
| 1.5 Facilitate the implementation of standards | | | |
| 1.5.1 Establish explanatory documents corresponding to ISPMs if needed | Ongoing | Medium | SC |
| 1.5.2 Investigate the feasibility of including implementation programs in the standard setting process | 2004 | Medium | ICPM |
| 1.5.3 Encourage RPPOs to assist their members in the implementation of ISPMs | Ongoing | Medium | ICPM |

Strategic direction No. 2: Information exchange

This strategic direction covers members and the IPPC Secretariat's obligations to provide information as specified in the IPPC and information exchange that may be specified by the ICPM or in ISPMs, including such information as pest lists, pest reports, and phytosanitary measures. Information exchange activities ensure that members communicate officially on phytosanitary regulations and other issues of phytosanitary significance, and determine the means by which the IPPC Secretariat makes them available to other members.

| Goals | Timing | Priority | Means |
|---|---------|----------|---------------|
| 2.1 Establish procedures for pest reporting and information exchange | | | |
| 2.1.1 Promote increased access and use of electronic communication/Internet | Ongoing | Medium | Secretariat |
| 2.1.2 Develop the IPP for provision of official information by countries | 2004 | High | Secretariat |
| 2.1.3 Establish systems to identify sources of information on pests | 2004 | Medium | Working group |

Strategic Direction No. 3: The provision of dispute settlement mechanisms

This relates to the non-binding dispute settlement provisions contained in Article XIII of the IPPC (1997). The ICPM is charged to develop rules and procedures for dispute settlement under the IPPC. The Convention explicitly recognizes the complimentary role of the IPPC in this area given the formal binding dispute settlement process that exists under the WTO.

| Goals | Timing | Priority | Means |
|--|--------|----------|-----------------|
| 3.1 Increase awareness of dispute settlement mechanism | | | |
| 3.1.1 Develop information material concerning the requirements for effective preparation of a dispute settlement | 2004 | Medium | Subsidiary body |
| 3.2 Provide supporting information on IPPC and other dispute settlement systems | | | |
| 3.2.1 Establish an inventory of other dispute settlement systems | 2004 | Medium | Subsidiary body |
| 3.2.2 Provide rulings/precedents from dispute settlements (e.g. WTO) | 2004 | Medium | Subsidiary body |

Strategic Direction No. 4: The development of the phytosanitary capacity of Members by promoting the provision of technical assistance

Article XX in the IPPC (1997) requires members to promote the provision of technical assistance especially to developing contracting parties, either bilaterally or through appropriate international organizations with the purpose of facilitating implementation of the IPPC. Adequate capacity and infrastructure for all Members are critical to accomplish the IPPC's goals.

| Goals | Timing | Priority | Means |
|---|------------|----------|------------------------|
| 4.1 Develop and maintain methods and tools for individual countries to evaluate their phytosanitary capacity as well as their needs and demands for technical assistance | | | |
| 4.1.1 Maintain and update Phytosanitary Capacity Evaluation (PCE) | Ongoing | Medium | SPTA and Secretariat |
| 4.1.2 Promote use of the PCE | Ongoing | Medium | Secretariat and Bureau |
| 4.1.3 Identify and develop additional technical assistance tools | Ongoing | Medium | SPTA and Secretariat |
| 4.2 Promote technical cooperation to support the working programme of the ICPM | | | |
| 4.2.1 Organize a minimum of four workshops per year to improve the understanding of the draft standards and promote the implementation of existing standards. | Ongoing | High | Secretariat |
| 4.2.2 Increase assistance for the establishment, revision and updating of national legislation | Ongoing | High | Secretariat |
| 4.2.3 Provide legal advice on phytosanitary legal and associated institutional issues to the ICPM | In process | High | Secretariat |
| 4.2.4 Establish a process to identify and rank priorities for the ICPM's activities in technical assistance | 2004 | Medium | ICPM |
| 4.3 Assist members obtain technical assistance from donors. | | | |
| 4.3 Provide information to help Members obtain technical assistance from donors | 2004 | High | Bureau and Secretariat |
| 4.4 Promote the improvement and development of RPPOs | | | |
| 4.4.1 Develop a policy on the roles and functions of the RPPOs in relation to the IPPC. | 2004 | High | ICPM |

| | | | |
|---|---------|--------|-----------------------------|
| 4.4.2 Assist RPPOs in the establishment of information systems | Ongoing | Medium | Members and the Secretariat |
| 4.5 Increase the participation by developing countries in IPPC activities | | | |
| 4.5.1 Work to ensure that funds are contributed to the Special Trust Fund to support developing country involvement | Ongoing | High | Secretariat and ICPM |
| 4.5.2 Facilitate the attendance of developing countries at SPTA, expert working groups and other ICPM meetings. | Ongoing | High | Secretariat |

Strategic direction No. 5: The maintenance of an effective and efficient administrative framework

To function effectively, the ICPM must establish organizational structures and procedures, identify funding mechanisms, and address various support and administrative functions, including internal review and evaluation mechanisms. This strategic direction is to make provision for the ICPM to address its administrative issues and strategies, making continual improvement to ensure its business practices are effective and efficient.

| Goals | Timing | Priority | Means |
|---|---------|----------|---------------------------|
| 5.1 Provision of an adequate budget for IPPC activities | | | |
| 5.1.1 Establish strategies for increasing resources available to the IPPC | 2004 | High | ICPM, Bureau, Secretariat |
| 5.1.2 Provide a transparent budget | Ongoing | High | Secretariat |
| 5.1.3 Establish costing of Strategic Directions in Strategic Plan | 2003 | High | Secretariat |
| 5.1.4 Identify the relationship of the IPPC Secretariat in the context of FAO | Ongoing | Low | ICPM |
| 5.1.5 Increase Secretariat capacity through the use of FAO resources | Ongoing | High | ICPM, Bureau and Members |
| 5.2 Implement planning, reporting and review mechanisms | | | |
| 5.2.1 Review business plan annually | Ongoing | High | Bureau and Secretariat |
| 5.2.2 Update strategic plan and operational programme annually | Ongoing | High | SPTA and ICPM |
| 5.2.3 Report on activities of the Secretariat, including reporting by Secretariat on the implementation of the strategic plan | Ongoing | High | Secretariat |
| 5.2.4 Establish procedures to identify issues where common action of the ICPM required | Ongoing | Low | ICPM |

Strategic Direction No. 6: Promotion of IPPC and cooperation with relevant international organizations

This strategy direction recognizes the need to communicate IPPC issues, obligations, processes and interests to all concerned, including other bodies with similar or overlapping interests, and to encourage RPPOs to promote regionally the implementation of the IPPC.

| Goals | Timing | Priority | Means |
|--|---------|----------|-------------------------|
| 6.1 Promote the IPPC | | | |
| 6.1.1 Encourage Members to deposit their instrument of acceptance for the New Revised Text (IPPC, 1997) | Ongoing | High | Members and Secretariat |
| 6.1.2 Encourage non-contracting parties to adopt the IPPC | Ongoing | High | Members and Secretariat |
| 6.1.3 Communicate IPPC issues, obligations, processes and interests to all concerned, including other bodies with similar or overlapping interests | Ongoing | High | Secretariat |
| 6.1.4 Request RPPOs to promote regionally the implementation of the IPPC | Ongoing | High | ICPM |
| 6.2 Strengthen cooperation with other international organizations | | | |
| 6.2.1 Establish relations, identify areas of common interest, and where appropriate, develop coordinated activities and joint programmes with other relevant organizations including the CBD, OIE, Codex and WTO | Ongoing | High | Secretariat and Bureau |
| 6.2.2 Strengthen cooperation and coordination with relevant organizations on technical assistance | Ongoing | Medium | ICPM and Secretariat |
| 6.2.3 Develop a policy for linkages with research and education institutions. | 2004 | High | ICPM |
| 6.2.4 Develop a plan of action for the provision of scientific and technical support for the IPPC | Ongoing | High | ICPM |
| 6.3.5 Develop a plan of action for the provision of scientific and technical support for IPPC implementation | Ongoing | Medium | Bureau |

