



***REPORT***

Rome, Italy  
15 June 2015

# **IPPC Financial Committee June, 2015**



**Food and Agriculture Organization of the United Nations**

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## Financial Committee Meeting

15 June 2015

### 1. Opening of the meeting

- [1] The Chairperson of the IPPC Financial Committee (FC) opened the meeting pointing out that this meeting of the FC had a number of important and interesting subjects to discuss: The progress on the IYPH 2020, the investigation with regard to the organization of CPM meetings outside Rome and the financial implications of the IPPC Secretariat Enhancement Evaluation are all subjects of paramount importance. He welcomed the participants to Rome.
- [2] The IPPC Secretary, Mr Jingyuan XIA welcomed the participants to the FC and to Rome explaining his intentions to driving change in the IPPC Secretariat (hereafter “Secretariat”) and to improve the resource provisions. To do that resource mobilization would be of crucial importance. He informed that his aim is to approach, beside traditional donors such as developed countries, also previously less utilized resources such as the BRICS Countries<sup>1</sup>, International professional organizations such as ~~the~~ World Trade Organization (WTO), International finance organizations such as the, World Bank, Non-Governmental Organizations, ~~–~~ and Industry. To do this the Secretary informed that he will establish a resource mobilization team within the Secretariat. He also underlined that the important issues on resource mobilization and management in the IPPC Secretariat would be: More resource mobilization, More stable financial support, More transparent operation, and More effective outcomes. He especially emphasized that resources are desperately needed to maintain staff levels since a considerable number of temporary staff contracts are running out due to the lack of extra-budgetary resources.
- [3] All FC members welcomed the new IPPC Secretary and expressed their full support to his strategic foresight for the IPPC and the Secretariat and his promising vision concerning resource mobilization.

### 2. Adoption of the Agenda

- [4] The FC *adopted* the agenda (Appendix 1).
- [5] One member proposed that matters concerning the International Year of Plant Health 2020 (IYPH 2020) should be identified as independent agenda items on the agenda of future FC meetings. The FC *agreed* to this proposal.

### 3. Housekeeping

- [6] The Documents list (Appendix 2) and the Participants list (Appendix 3) were *reviewed* and *noted*.

### 4. Report of last meeting and review of actions points

- [7] There were no major comments to the FC March 2015 report<sup>2</sup>.
- [8] The FC reviewed the action list. It was noted that some of the activities were grouped around the planning of the IYPH 2020. With regard to resource mobilization activities one member enquired about the Secretariat’s plans and concrete actions to create the aforementioned resource mobilization team in how far these arrangements will correspond to the structures recommended by the IPPC Secretariat Enhancement Evaluation (ISEE). The Secretary answered that concrete planning will start internally soon and that the Bureau will be informed.

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<sup>1</sup> Brazil, Russia, India, China and South Africa.

<sup>2</sup> The FC reports are publicly available on the IPP, click [here](#).

## 5. FC 2015 Work programme

### 5.1 Current financial position of IPPC – RP/TF

- [9] The Secretariat introduced the paper on the current regular programme (RP) and trust fund (TF) financial position of the IPPC Secretariat<sup>3</sup>.
- [10] In summary, for the regular programme, as of 31 May 2015, IPPC Secretariat spent or committed 66% of the total budget, or USD 1 920 457 out of USD 2 915 996. IPPC Secretariat confirmed that it will fully execute the remaining budget by the financial year end (31 December 2015).
- [11] The IPPC Multi-donor trust fund, as of 31 May 2015, had a balance of USD 230 620. This amount is enough to sustain the 2015 work programme, but not that of 2016. Joint effort is needed to mobilize a minimum of USD 1.2 million per year in resources. This is the amount needed to secure that all the currently planned Secretariat activities are carried out, including the prolongation of temporary staff contracts beyond the 2015.
- [12] The FC *strongly recommended* that immediate resource mobilization activities are undertaken by the IPPC Secretariat and the CPM Bureau.
- [13] The FC *appreciated* the clear presentation of the IPPC Secretariat financial situation. The FC *proposed* that some regular programme budget funds currently allocated to Standard setting be reallocated to Capacity development to ensure balance between the two units. In order to facilitate this, a detailed budget would need to be developed and discussed at the next FC meeting. This would aid the Bureau in setting clear priorities for the work programme.

### 5.2 Initial discussion on IPPC Secretariat 2016-2017 Biennium Budget

- [14] The FC initiated discussions on the Secretariat budget for the 2016-2017 biennium based on an improved budget format, presented by the Secretariat, that included “human resources”, “budget at a glance” and a “no deficit budget”<sup>4</sup>.
- [15] The FC noted that FAO Conference, at its 39<sup>th</sup> Session in 2015, approved the Secretariat 2016-2017 Regular programme budget at the level of USD 5.9 million for the biennium (no changes compared to the previous biennium).
- [16] The FC *appreciated* the new budget format and *asked* that graphical aids regarding the human resources and their sources of finance be added (e.g. regular programme budget, multi-donor trust fund). In this context, one participant noted that a considerable part of the budget is earmarked for human resources and that this may be difficult to accept by some countries. Other members, however, stressed that the IPPC activities (produce standards, implement capacity development, facilitate information exchange between contracting parties online and during CPM sessions) are fully dependent on human resources.
- [17] A member queried in how far the SPG should be involved in financial planning, as this group may influence the future Secretariat activities through strategic planning. The FC *agreed* that the “budget at a glance” table including some forecast assumptions and emerging themes should form the part of the report to the SPG, and *asked* the Secretariat to include this.

### 5.3 Financial implications of CPM decisions – linked to budget development

- [18] The FC reviewed the financial implications of CPM-10 (2015) decisions<sup>5</sup> noting that the Bureau and the FC, at its March 2015 meeting, had agreed that financial implications of CPM-10 decisions should

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<sup>3</sup> 03\_IPPC-FC\_2015\_June

<sup>4</sup> 04\_IPPC-FC\_2015\_June

<sup>5</sup> 06\_IPPC-FC\_2015\_June

be tracked during the ongoing CPM, and that the Bureau and FC should be informed about any CPM decision that might have significant effect on the IPPC Secretariat budget.

- [19] The FC discussed the setup and content of the table, noting that the "Implementation Project Surveillance" (IPS) should be funded by additional extra-budgetary resources. The FC made suggestions as to improving the level of accuracy of the estimates of financial implications to ensure that during CPM information related to funding could be given swiftly. The FC *agreed* that the table be used as the basic calculation which, once approved, would be included into the work-programme and associated budget. The FC *agreed* that the budget should also include an additional column identifying additional extra-budgetary needs to carry out a specified activity.

## 6. New and emerging issues

### 6.1 CPM-11 in Korea

- [20] The FC discussed the financial implications of the proposal from Republic of Korea to host CPM-11 (2016)<sup>6</sup>.
- [21] FC *concluded* that more information was needed before a recommendation could be made, and that the Bureau should discuss this initiative in more detail as it was not solely related to the financial issues.

### 6.2 Resource mobilization

- [22] The Secretariat presented recent efforts in resource mobilization. He noted that donor prioritization is being done and that the top 5-10 potential donors will be approached by a small Secretariat team on resource mobilization that will be created shortly. The goal for this activity is to obtain sustained resources.
- [23] The FC members provided an update on their resource mobilization activities.
- [24] The FC *agreed* that a dedicated brochure for the IPPC in relation to the International Year for Plant Health (IYPH) should be developed and *asked* the Secretariat to carry out this activity with due urgency.

### 6.3 International Year of Plant Health – 2020

Mr Ralf LOPIAN (Finland) informed the FC on the efforts to establish an IYPH<sup>7</sup>. He highlighted the need to intensify resource mobilization efforts. He informed the FC of the various steps to increase communication on the IYPH, which include an information tool-kit that is being developed to help national authorities lobby for political and financial support to the IYPH. This tool-kit should also assist with regard to resource mobilization. He reported that individual countries would be approached to solicit extra-budgetary resources to support activities leading to the proclamation of the IYPH. In addition he reported that a volunteer programme had been envisaged in which professionals from all origins could enroll. Volunteers having donated their time would be publicly honoured at CPM-11 for their contribution.

- [25] The FC *noted* the developments with regard to the establishment of IYPH 2020 and *welcomed* the initiative to create a volunteer programme for IYPH 2020.

## 7. FC 2016 Work Programme

- [26] The FC discussed their activities for 2016 (Appendix 4) and agreed that the Secretariat and the FC Chairperson ~~and~~ will work together to draft the 2016 FC Work programme for the FC October 2015 meeting.

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<sup>6</sup> 07\_Rev\_01\_Bureau\_2015\_June

<sup>7</sup> 07\_IPPC-FC\_2015\_June

## 8. Discussions on agenda items for next meeting

[27] The FC *agreed* that the following agenda items would be discussed in the FC October 2015 meeting:

- Current regular programme and trust fund situation
- IYPH update
- CPM-11 in Korea
- Resource mobilization update
- Draft budget

## 9. Other business

[28] The Secretary highlighted the importance of having an IPPC presence during the December Council meeting, for instance through a publication stand or a side event. The FC *fully supported* this and *suggested* that the Secretariat develop a reusable stand, which could be used repeatedly at FAO occasions and at conferences and events of other, related international organizations.

### 9.1 ISPM 15 mark registration – progress

[29] The Secretariat updated the FC on the progress for registration of the ISPM 15 symbol<sup>8</sup>.

[30] In 2014, the IPPC Secretariat initiated new registrations for 17 countries which were identified as the first group based on the prioritization criteria. The second group of countries where the new registration will be initiated in late 2015 will be identified based on the prioritization criteria within the allocated budget, as done in 2014.

[31] The FC *noted* the progress.

### 9.2 SPS related financial assistance provided by EU – Position of IPPC and plant health in general *orally and WTO information document*

The Secretariat informed the FC on EU support to the areas of food safety, animal health and plant health and concluded that plant health area receives the least amount of resources<sup>9</sup>.

The FC *noted* the information provided. It felt that the report provided useful information about the technical assistance activities of the EU and its individual member states, which could be utilized by the Secretariat in its resource mobilization efforts with regard to the EU.

### 9.3 Establishment of a trust fund for the translation of draft and approved/adopted specifications and standards

[32] The Secretariat introduced the initiative that a separate trust fund for the translation of draft and approved or adopted specifications and standards is created.

[33] The FC felt that a fragmentation of multilateral trust funds for limited Secretariat activities may not be welcomed by CPs for transparency reasons. The FC thought that if a new multilateral trust fund is established it should have a wider scope than just standards. The FC *agreed* that this topic be added to the SPG 2015 agenda for discussion of a strategic approach to translation which may include outsourcing or volunteering.

## 10. Next meeting (scheduling)

The FC Chairperson confirmed that the next FC meeting is scheduled for the morning of 12 October 2015, Rome, Italy.

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<sup>8</sup> 05\_IPPC-FC\_2015\_June

<sup>9</sup> 08\_FC\_June\_2015

## **11. Close of meeting**

The FC Chairperson thanked the FC members and the Secretariat for their contributions and closed the meeting.

**APPENDIX 01 – Agenda**

Agenda item	Document No	Presenter
<b>1. Opening of the meeting</b>		Greifer/Xia
<b>2. Adoption of the Agenda</b>	01_IPPC-FC_2015_June	Fedchock
<b>3. Housekeeping</b>		
<ul style="list-style-type: none"> <li>• Documents list</li> <li>• Participants list</li> <li>• Local information</li> </ul>	02_IPPC-FC_2015_June See IPP See IPP	Fedchock
<b>4. Report of last meeting and review of actions points</b>		
IPPC FC March report available on IPP Work area:	March 2015 Report	Fedchock
<b>5. FC 2015 Work programme</b>		
1) Current financial position of IPPC – RP/TF	03_IPPC-FC_2015_June	Benovic
2) Initial discussion on IPPC Secretariat 2016-2017 Biennium Budget	04_IPPC-FC_2015_June	FC and Secretariat
3) Financial implications of CPM decisions – linked to budget development	06_IPPC-FC_2015_June	FC and Secretariat
<b>6. New and emerging issues</b>		
1) CPM-11 in Korea	07_Rev_01_Bureau_2015_June	Yim/Fedchock
2) Resource mobilization	<i>orally</i>	Fedchock
3) International Year of Plant Health – 2020	07_IPPC-FC_2015_June	Lopian
<b>7. FC 2016 Work Programme</b>	<i>orally</i>	Greifer/Fedchock
<b>8. Discussions on agenda items for next meeting</b>		FC and Secretariat
<b>9. Other business</b>		
1) ISPM15 mark registration - progress	05_IPPC-FC_2015_June	Benovic
2) SPS related financial assistance provided by EU – Position of IPPC and plant health in general	<i>orally and WTO information document</i> 08_IPPC-FC_2015_June	Fedchock
3) Establishment of a Trust fund for the translation of draft and approved/adopted specifications and standards	<i>Orally</i>	Fedchock
<b>10. Next meeting (scheduling)</b>		

**APPENDIX 02 – Documents list**

<b>DOCUMENT NO.</b>	<b>AGENDA NO.</b>	<b>DOCUMENT TITLE</b>	<b>POSTED</b>
01_IPPC-FC_2015_March	02	Provisional Agenda	11/06/2015
02_IPPC-FC_2015_March	03	Documents List	11/06/2015
03_IPPC-FC_2015_March	5.1	Current financial position of IPPC – RP/TF	11/06/2015
04_IPPC-FC_2015_March	5.2	Initial discussion on IPPC Secretariat 2016-2017 Biennium Budget	11/06/2015
05_IPPC-FC_2015_March	9.1	ISPM15 mark registration - progress	11/06/2015
06_IPPC-FC_2015_March	5.3	Financial implications of CPM decisions – linked to budget development	11/06/2015
07_IPPC-FC_2015_March	6.3	International Year of Plant Health - 2020	11/06/2015
07_Rev_01_Bureau_June_2015	6.1	CPM-11 in Korea	11/06/2015
08_IPPC-FC_2015_March	9.2	SPS related financial assistance provided by EU – Position of IPPC and plant health in general	11/06/2015

<b>Document</b>	<b>Agenda item</b>	<b>Content</b>
Report from last meeting	04	FC 2015 March Report

**APPENDIX 03 – Participants list**

Role / Region	Name, mailing, address, telephone	Email address	Membership Confirmed	Term expires
Chair/ North America	<b>Mr John GREIFER</b> Assistant Deputy Administrator Plant Protection and Quarantine Animal and Plant Health Inspection Service Department of Agriculture 1400 Independence Ave., South Building Washington DC 20250 <b>USA</b> Phone: (+1) 202 7997159	<a href="mailto:john.k.greifer@aphis.usda.gov">john.k.greifer@aphis.usda.gov</a>	1 <sup>st</sup> term	2016
Member / Asia	<b>Ms Kyu-Ock YIM</b> Export Management Division Dept. of Plant Quarantine Animal and Plant Quarantine Agency Ministry of Agriculture, Food and Rural Affairs 433-1 Anyang-b dong, Manan-gu, Anyang City (430-016) Gyunggi-do <b>Republic of Korea</b> Tel.: (+82) 31-420-7605 Fax: (+82) 31-420-7605	<a href="mailto:koyim@korea.kr">koyim@korea.kr</a>	2 <sup>st</sup> term	2016
Member / Africa	<b>M Lucien KOJAME KONAN</b> Inspecteur Direction de la Protection des Végétaux, du Contrôle et de la Qualité Ministère de l'Agriculture B.P. V7 Abidjan, <b>COTE D'IVOIRE</b> Phone: (+225) 07 903754 Fax: (+225) 20 212032	<a href="mailto:l_kouame@yahoo.fr">l_kouame@yahoo.fr</a>	2 <sup>st</sup> term	2016
Member / Europe	<b>Mr Ralf LOPIAN</b> Senior Adviser International Affairs Department of Food and Health Ministry of Agriculture and Forestry Mariankatu 23 A, PO Box 30, Helsinki <b>Finland</b> Tel.: (+358) 295162329 Mob.: (+358) 405965698	<a href="mailto:ralf.lopian@mmm.fi">ralf.lopian@mmm.fi</a>	2 <sup>st</sup> term	2016
IPPC Secretariat	<b>Mr Craig FEDCHOCK</b> IPPC Officer-in-Charge	<a href="mailto:craig.fedchock@fao.org">craig.fedchock@fao.org</a>	N/A	N/A
IPPC Secretariat	<b>Mr Marko BENOVIC</b> Finance and Planning Associate	<a href="mailto:marko.benovic@fao.org">marko.benovic@fao.org</a>	N/A	N/A

**APPENDIX 04 – Action list**

<b>ACTION</b>	<b>Action from</b>	<b>Responsible</b>	<b>Deadline</b>	<b>Status</b>
Estimate total annual translation and interpretation cost	Jun-14	IPPC Secretariat	2015	Accomplished
Paper on International Year of Plant Health presented at CPM-10	Oct-14	IYPH Steering group, IPPC Secretariat	2015	Accomplished
Merge the work programme with the action points	Mar-14	IPPC Secretariat	2015	Accomplished
IPPC Secretary and Coordinator to meet ADG-AG to inquire about the future of regular programme funding	Oct-14	IPPC Secretariat	2015	Accomplished
Update IPPC General Financial outlook document as described	Jun-14	IPPC Secretariat	2015	Accomplished
Append Table 3 of 05_IPPC-FC_2015_March and a list of in-kind contributions to the CPM report.	Mar-15 [21]	IPPC Secretariat	CPM report finalization	Accomplished
Describe specific activities that would need to be stopped in case there would not be a USD 1.2 mio replenishment.	Mar-15 [26]	IPPC Secretariat	For FC June	Accomplished
Review financial implications of CPM-10 decisions	Mar-15 [34]	FC	2015	Accomplished
Simplified version of the general financial outlook to be published on the IPP	Jun-14	IPPC Secretariat	2015	In process
Initiate 2016-2017 budget (taking into account Enhancement evaluation)	Oct-14	IPPC Secretariat, FC	2015	In process
Plan resource mobilization outreach event - inviting the potential donor countries (e.g. high officials, ambassadors) - to be linked to International Year of Plant Health	Oct-14	IPPC Secretariat, FC	To be decided	In process
Develop guidelines for sponsorship of standards	Oct-14	IPPC Secretariat, FC	To be decided	In process
Plan to be present at CFS/ WFD events 2015 with no big efforts (side event presentation/poster/publication distribution)	Mar-15	IPPC Secretariat	To be decided	In process
Provide a list of possible donors with identification of the country's priorities to Mr Ralf LOPIAN.	Mar-15 [15]	IPPC Secretariat	To be decided	In process
Develop a figure on TF expenditures by core activities (as Figure 3 of 05_IPPC-FC_2015_March for the next Financial report.	Mar-15 [21]	IPPC Secretariat	2016	In process
Prepare for a list of the current activities with precise costs so that these figures can be used to budget new activities.	Mar-15 [34]	IPPC Secretariat	In time for the 2016-2017 budget development	In process

Matters concerning the International Year of Plant Health 2020 (IYPH 2020) should be identified as independent agenda items on the agenda of future FC meetings.	June-15	IPPC Secretariat	For FC Oct	In process
FC strongly recommended that immediate resource mobilization activities are undertaken by the IPPC Secretariat and the CPM Bureau.	June-15	IPPC Secretariat, Bureau	2015	In process
2016 Annual work plan and budget would need to be developed and discussed at the next FC meeting	June-15	IPPC Secretariat	For FC Oct	In process
FC asked that graphical aids regarding the human resources and their sources of finance be added to the annual work plan and budget	June-15	IPPC Secretariat	For FC Oct	In process
Dedicated brochure for the IPPC in relation to the International Year for Plant Health (IYPH) should be developed	June-15	IPPC Secretariat	2015/2016	In process