tRIENNIAL REVIEW GROUP OF THE

IMPLEMENTATION REVIEW AND SUPPORT SYSTEM

(Prepared by the IRSS Consultant with input from the IPPC Secretariat, Capacity Development Committee, Standards Committee and the Bureau)

Background

1. The Triennial Review Group (TRG) of the Implementation Review and Support System (IRSS) is composed of the Chairs or representatives of the Commission on Phytosanitary Measures (CPM) subsidiary bodies, the IPPC Secretariat and the Bureau (Annex 1). The TRG was initially proposed to supervise the IRSS until such time as a permanent technical body could assist. However, due to resource constraints the oversight function of the IRSS has been undertaken primarily by the Bureau[[1]](#footnote-1). The work of the TRG was then re-focused to provide the review function of the IRSS activities as it relates to the triennial Implementation Review Report (IRR).
2. The outline of the second cycle IRR was circulated to the TRG for their consideration.

IRSS second project cycle (2014-2017)

1. The activities of the second IRSS project cycle were undertaken to achieve the project objective of ‘facilitating and promoting the implementation of the IPPC and ISPMs, while contributing to the objectives of the IPPC Strategic Framework’, build on activities conducted in the first cycle (2012-2014) and priorities identified by the CPM. A summary of the work activities of the second cycle (to date) are provided in Annex 2.

Triennial Implementation Review Report

1. Based on the framework for the first cycle (2012-2014) IRR and the priorities identified by CPM during the second cycle, the following outline of the next IRR is provided below:
2. Introduction
3. IPPC Strategic Framework
4. the role of the IRSS within the IPPC and FAO strategic frameworks
5. An increased focus on implementation
6. OEWG Implementation
7. Implementation pilot on surveillance
8. Implementation subsidiary body (in establishment)
9. Implementation of core phytosanitary activities
10. Monitoring of IPPC reporting obligations
11. Second IPPC General Survey
12. IRSS study outcomes
13. Linkages with IPPC subsidiary bodies
14. Engagement with the IPPC community
15. Governance
16. Conclusions and recommendations

Recommendations for SPG

1. The SPG is invited to:

* *consider* the summary of the second cycle (2014-2017) of the IRSS as outlined in Annex 2; and
* *note* the outline of the second cycle IRR.

Annex 1: Membership of the TRG of the IRSS

| **Role** | **Name, mailing, address, telephone** | **Email address** |
| --- | --- | --- |
| Bureau (Chair) | **Mrs Lois RANSOM**  Assistant Secretary  Plant Import Operations  Department of Agriculture and Water Resources  18 Marcus Clarke Street  Canberra, ACT 2601  AUSTRALIA  Tel: (+61) 2 6272 3241 | [Lois.Ransom@agriculture.gov.au](mailto:Lois.Ransom@agriculture.gov.au) |
| Capacity Development Committee (Chair) | **Mrs Sally JENNINGS**  Senior Policy Analyst  International Standard Organisations  International Policy  Ministry for Primary Industries  Pastoral House, 25 The Terrace  PO Box 2526, Wellington  NEW ZEALAND  Tel: (+64) 4 894 0431 | [Sally.Jennings@mpi.govt.nz](mailto:Sally.Jennings@mpi.govt.nz) |
| Standards Committee (Chair) | **Mr Jan Bart ROSSEL**  Director  International Plant Health Program  Plant Health Policy  Department of Agriculture and Water Resources  18 Marcus Clarke Street  Canberra ACT 2601  AUSTRALIA  Tel: (+61) 2 6272 5056 | [Bart.rossel@agriculture.gov.au](mailto:Bart.rossel@agriculture.gov.au) |
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| IPPC Secretariat | **Mr Jingyuan XIA**  Secretary  International Plant Protection Convention  Food and Agriculture Organization of the United Nations  Viale delle Terme di Caracalla  Rome 00153, ITALY  Tel: (+39) 06570 56988 | [Jingyuan.Xia@fao.org](mailto:Jingyuan.Xia@fao.org) |
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Annex 2: IRSS second cycle results

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| **Performance indicator** | **Activity** |
| **Year one (2014-2015)** | |
| Monitor the fulfillment of the reporting requirements of contracting parties | ISPM 17/19 survey results used by the National Reporting Obligations Advisory Group (NROAG) in development of their work plan.  Other work that is planned under the Implementation pilot on surveillance programme includes development of technical resources in relation to pest reporting (ISPM 17) and lists of regulated pests (ISPM 19). However, development of these resources is dependent on securing extra-budgetary resources independent of this project. |
| Review to evaluate the implementation of other obligations (non-reporting) contained in the IPPC | Surveillance implementation challenges will be re-addressed under the series of IPPC regional workshops to be held in mid 2015. This includes contracting parties sharing their surveillance experiences and examples of their national surveillance plans and systems with their regional counterparts. All presented information was captured by the IPPC to use in further analysis of regional surveillance capacity. |
| Call for reports on implementation by the TC-RPPOs and other relevant international organizations | At the 26th Technical Cooperation of Regional Plant Protection Organizations (TC-RPPO) meeting in November 2014 RPPOs reported on their regional implementation activities relating to the Convention and ISPMs. The TC-RPPOs expressed interest in seeking support from the Secretariat in implementation of ISPM 13, 15 and 32 and the upcoming e-Phyto project.  The IPPC Secretariat presented a paper on the NROAG work and how RPPOs can contribute to NROs.  The IPPC Secretariat also updated RPPOs on the IRSS work on implementation and specifically the OEWG on Implementation and the conclusions the group reached. Additionally RPPOs were asked to inform the Secretariat of their ideas related to indicators for monitoring implementation and practical examples of ways their members are measuring implementation of ISPMs.  The Secretariat encouraged RPPOs to be aware of their roles in supporting implementation at both national and regional levels. |
| Collation and analysis of NPPO data for the IPPC Secretariat report (Questionnaires, case studies etc.) | The survey data on pest surveillance related ISPMs conducted during the first IRSS triennium was further analysed in the preparation of the Implementation pilot on surveillance, for development of the Pest Surveillance and Pest Diagnostic manuals. |
| Implementation support conducted | Analysis of current and potential donors to support IPPC contracting parties.  Oversight of the Phytosanitary Resources webpage use and improvement assessment.  No further implementation support was conducted during the reporting period due to resource (staffing) constraints. |
| Prepare first Implementation review response (IRR) report (Year 2015) | First year IRSS activities were summarized in the CPM 10 update, which will be used along with CPM decisions to inform the IRR report. |
| IPPC Secretariat annual report to the CPM-10 on: contracting parties’ difficulties with reporting requirements based on reporting through the IPP; and the IPPC Help Desk activities | A CPM 10 update was given on contracting parties' difficulties with reporting requirements based on reporting through the IPP; and the IPPC Help Desk activities.  The Triennial review report (Implementation Review Report for 2014-2017) recommendations were presented to CPM 10 and noted. |
| Present case study reports at CPM-10 in 2015 | Due to resource (staffing) constraints, no case studies were conducted during the reporting period. |
| **Year two (2015-2016)** | |
| Distribution of questionnaire to contracting parties | ISPM 6 questionnaire on national surveillance programmes was circulated to contracting parties prior to 2015 IPPC regional workshops.  Input provided by the IRSS into the World Bank plant protection survey (design and content).  Contracting parties were surveyed during the 2015 IRSS Diversion from Intended Use case study. Results are presented in the published study.  The Second IPPC General Survey is planned as a Year 3 activity. |
| Monitor the fulfillment of the reporting requirements of contracting parties | The reporting requirements of contracting parties were reviewed on a monthly basis by the Information Exchange officer and reporting to the Secretariat, contracting parties and RPPOs by distribution of a monthly newsletter. Key NRO themes are also highlighted in the newsletter with IPPC technical resources often referenced to enhance contracting party implementation of the Convention, ISPMs and their reporting obligations.  To enhance contracting parties reporting of obligations NRO Quality Control Guidelines and NRO Procedures were presented and adopted by CPM 11.  Contracting party reporting requirements will continue to be monitored on a monthly basis. |
| Update triennial IRR Report based on recommendations of CPM | Second year IRSS activities were summarized in the CPM 11 update, which will be used along with CPM decisions to inform the IRR report.  The triennial IRR report will be drafted as a Year 3 activity and consulted with the TRG. |
| Call for reports on implementation by the TC-RPPOs and other relevant international organizations | At the 27th TC-RPPO meeting in November 2015 RPPOs reported on their regional implementation activities relating to the Convention and ISPMs. The TC-RPPOs expressed interest in seeking support from the Secretariat in implementation of the Convention, ISPM 15, pest reporting, contingency planning, the e-Phyto project, support at regional workshops and the International Year of Plant Health (IYPH).  The IPPC Secretariat presented a paper on IPPC towards 2020, IYPH, ePhyto and the Secretariat achievements.  The IPPC Secretariat also updated RPPOs on the new Implementation Facilitation unit, which includes the IRSS project working specifically on the implementation pilot on surveillance and across the IPPC Secretariat work programme.  The Secretariat encouraged RPPOs to be aware of their roles in supporting implementation at both national and regional levels.  At CPM 11 the CBD updated contracting parties on their implementation activities to prevent the spread of alien invasive species, as related to plants and other sectors. |
| Collation and analysis of NPPO data for the IPPC Secretariat report. (Questionnaires, case studies etc.) | The results from all previous IRSS surveys (ISPM 4, 6, 8, 13, 17, 19 and IPPC General Survey) have been aggregated for analysis as baseline information for the implementation pilot on surveillance.  The survey results and outcomes of regional and global workshops for ISPM 6 have been analyzed and submitted to the Expert Working Group for the revision of ISPM 6 as a discussion paper.  The survey data on pest surveillance related ISPMs conducted during the first IRSS triennium used to develop the IPPC the Pest Surveillance and Pest Diagnostic manuals, which are now published.  Contracting party surveillance implementation challenges were discussed at the 2015 IPPC regional workshops. Contracting parties shared their surveillance experiences and examples of their national surveillance plans and systems with their regional counterparts. All presented information was captured by the IPPC to use in further analysis of regional surveillance capacity under the implementation pilot project on surveillance and will be revisited at the 2016 workshops.  Information on examples of contracting party diversion from intended use and biosecurity approaches were collected during the respective IRSS case studies.  Based on the outcomes of the ISPM 13 survey, during which examples of contracting party non-compliance report forms were collected, a generic non-compliance reporting template was developed and has been published in the IPPC Import Verification manual. |
| Implementation support conducted | Project management of STDF 350: Global Phytosanitary Manuals, Standard Operating Procedures and Training Kits.  Oversight of the Phytosanitary Resources webpage use and improvement assessment.  Analysis of current and potential donors to support IPPC contracting parties.  Participation in the FAO foresight group to determine and provide advice on critical and emerging issues in the plant health sector.  Participation in the CBD technical group to develop biodiversity related indicators, which can also be used to measure of related aspects of IPPC’s contracting parties implementation of the Convention and ISPMs. |
| Prepare second Implementation review response (IRR) report (Year 2016) | Second year IRSS activities were summarized in the CPM 11 update, which will be used along with CPM decisions to inform the IRR report. |
| Present case study reports at CPM-11 in 2016 | Equivalence: A Review of the Application of Equivalence between Phytosanitary Measures used to Manage Pest Risk in Trade finalized.  Diversion from Intended Use: Consideration of the extent of the issue completed.  Review and evaluation of biosecurity approaches being applied in various countries commenced. |
| Present the annual secretariat report to the CPM-11 in 2016 on: contracting parties’ difficulties with reporting requirements based on reporting through the IPP; and the IPPC Help Desk activities | A CPM 11 update was given on contracting parties' difficulties with reporting requirements based on reporting through the IPP; and contracting parties were advised an analysis of the IPPC Help Desk had been undertaken to improve functionality and user experience. |
| Other | Analysis of the IRSS website and Helpdesk use to enhance functionality and contracting party user experience.  Following CPM 10 the IRSS provided input into the implementation pilot on surveillance work plan, this was presented to CPM 11. |
| **Year three (2016-2017)** | |
| Distribution of questionnaire to contracting parties | Conduct the second IPPC General Survey of contracting parties’ implementation of the Convention, ISPMs and IRSS related CPM recommendations.  Follow up on World Bank plant protection survey outcomes, for which the IRSS provided input on survey design and content. |
| Monitor the fulfillment of the reporting requirements of contracting parties | Commence the establishment of a monitoring and evaluation system for the IPPC work programme and specific projects e.g. implementation pilot on surveillance. The system was developed in collaboration with Wageningen University of the Netherlands.  Conduct a follow-up activity to assess the best practices of contracting parties on pest surveillance.  The reporting requirements of contracting parties were reviewed on a monthly basis by the Information Exchange officer and reporting to the Secretariat, contracting parties and RPPOs by distribution of a monthly newsletter. Key NRO themes are also highlighted in the newsletter with IPPC technical resources often referenced to enhance contracting party implementation of the Convention, ISPMs and their reporting obligations.  To enhance contracting parties reporting of obligations a workshop was held in the Asia region in September 2016 to train contracting parties NROs.  Contracting party reporting requirements will continue to be monitored on a monthly basis. |
| Update triennial IRR Report based on recommendations of CPM | Collate CPM decisions for the IRSS second cycle to include to the triennial IRR. |
| Call for reports on implementation by the TC-RPPOs and other relevant international organizations | 28th TC-RPPO meeting update.  CBD update.  Collaboration with the Standards and Trade Development Facility (STDF) on the IPPC study – Analyzing the benefits of implementing the IPPC.  Follow up on World Bank plant protection survey outcomes, for which the IRSS provided input on survey design and content. |
| Collation and analysis of NPPO data for the IPPC Secretariat report. (Questionnaires, case studies etc.) | Provide contracting party implementation information for the IPPC Secretariat mid-year and annual reports.  Conduct follow-up at the 2016 IPPC regional workshops on contracting party surveillance experiences and national surveillance plans and systems that were presented at the 2015 workshops.  Collation of contracting party emerging risk information gathered during the 2016 IPPC Regional Workshops. |
| Case studies conducted | Completion of the case study: Review and evaluation of biosecurity approaches being applied in various countries.  Development of Risk Communication guidelines in collaboration with the International Advisory Group on Pest Risk Analysis (IAGPRA) to assist contracting parties’ implementation of pest risk analysis obligations of the Convention and ISPMs, including facilitating an expert review meeting.  Conduct of the study: Analyzing the benefits of implementing the IPPC. Including facilitation of an meeting of plant health, trade and international economist experts.  Project scoping for the establishment of global implementation indicators.  Planning of future case studies to be included in the third project cycle of the IRSS. |
| Finalization of triennial IRR Report (2014-2017) based on the following elements:   * The 2015, 2016, 2017 IRR reports * Annual summary reports of the IPPC Help Desk * Annual reports on implementation difficulties from the TC-RPPOs * Summary annual reports on implementation trends from the PCE   Annual reports from other relevant international organizations and containing action plans | Convening of the Triennial Review Group (TRG) to discuss the objectives and outputs of the second project cycle of the IRSS and drafting of the second TRG report.  Collate annual reports from relevant international organization on implementation related activities (e.g. Convention on Biological Diversity, RPPOs and others) |
| Implementation support conducted | Analysis of current and potential donors to support IPPC contracting parties.  Development of a donor guidance document for contracting parties.  Continued oversight of the Phytosanitary Resources webpage use and improvement assessment.  Continued participation in the FAO foresight group to provide advice on critical and emerging issues in the plant health sector to work towards developing methodologies and explore strategies to mitigate associated risk and enhance organizational foresight.  Participation in the CBD’s first subsidiary body on implementation meeting to gain an insight into the strategic and operational aspects of a related convention’s coordination of implementation.  Facilitation of the Focus Group meeting to establish a new subsidiary body for implementation, to replace the IPPC Capacity Development Committee, as the oversight body of the IRSS.  Dispute avoidance assistance was provided to Egypt in relation to trade in grain.  Exercises in use of the IRSS Helpdesk functionality at the IPPC 2016 Regional Workshops. |
| Present implementation support reports at CPM-12 in 2017 | A CPM 12 update will be given on contracting parties' difficulties with reporting requirements based on reporting through the IPP; and the IPPC Help Desk activities. |
| Present the triennial IRR report to the CPM 12 on: contracting parties’ difficulties with reporting requirements based on reporting through the IPP; and the IPPC Help Desk activities | A CPM 12 update will be given to contracting parties summarizing the second cycle of the IRSS project. |
| Other | Updates to the IRSS website and Helpdesk were undertaken to enhance functionality and contracting party user experience.  Assist in the oversight of the IPPC ePhyto project for contracting parties’ implementation of the electronic phytosanitary certification system  Conduct planning for the third project cycle of the IRSS, including proposing an Agreement to the donor and associated work plan  Organize a CPM 12 side session on trade facilitation, including the outcomes of the IRSS study: Analyzing the benefits of implementing the IPPC, the case study of the Mexican avocado industry and the benefits of implementing of ISPM 15. |

1. The new IPPC subsidiary body for implementation, the proposed Implementation and Capacity Development Committee (IC) is intended to assume the oversight of the IRSS once established, until such time the Bureau will retain this role. [↑](#footnote-ref-1)