

# Plant Health Regulation: Key aspects, best practices, recommendations and instruments to support governments (PCE)

**IPPC Secretariat**

**27 July 2011**

**Barbados**



# Background

## Multi-lateral convention

- 177 contracting parties
- 1951 (60<sup>th</sup> Anniversary)
- Technical agreement
- 1979
- 1997
  - Secretariat
  - WTO-SPS Agreement
  - Std Setting



# Background cont.

## Scope

- Plants and plant products
- Pests
- Conveyances
- Beneficial organisms
- Agriculture and environment
- Germplasm and research

## Trade

- Harmonization of measures



# Mission

**“Protecting the world’s plant resources from pests”**



# IPPC and the WTO-SPS Agreement

**One of the “three sisters” of international standard setting recognized by the SPS agreement**

**Identified in the WTO-SPS Agreement as the authority for plant health standards**

**Members shall play a full part in the relevant standard setting organization**

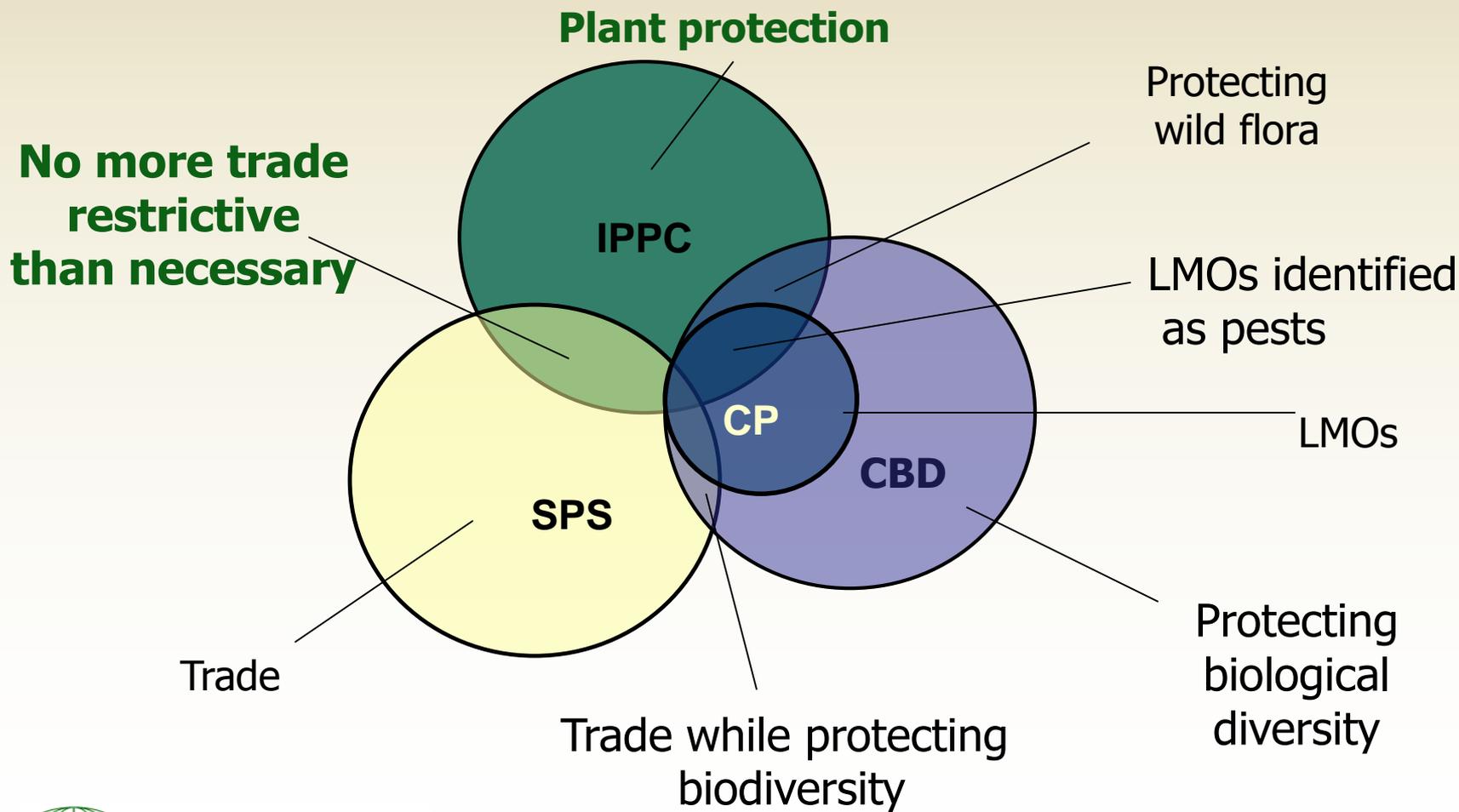
# IPPC and the WTO-SPS Agreement

**Members shall base their phytosanitary measures on international standards or justify deviations through risk analysis**

**Standard setting organizations: Codex, OIE, IPPC**

*(Article 3 of the SPS Agreement, Harmonization)*

# International regulatory framework (cont.)



# Regional Organizations

## RPPO

- RPPOs are recognized in the convention
- Coordinate implementation in their regions
- Annual Technical Consultation of RPPOs;
  - All RPPOs meet to encourage inter-regional cooperation and help implement the IPPC work programme

## RECs

- Coordination
- No legal basis for implementation on behalf of countries

# Governance

## Commission on Phytosanitary Measures (CPM)

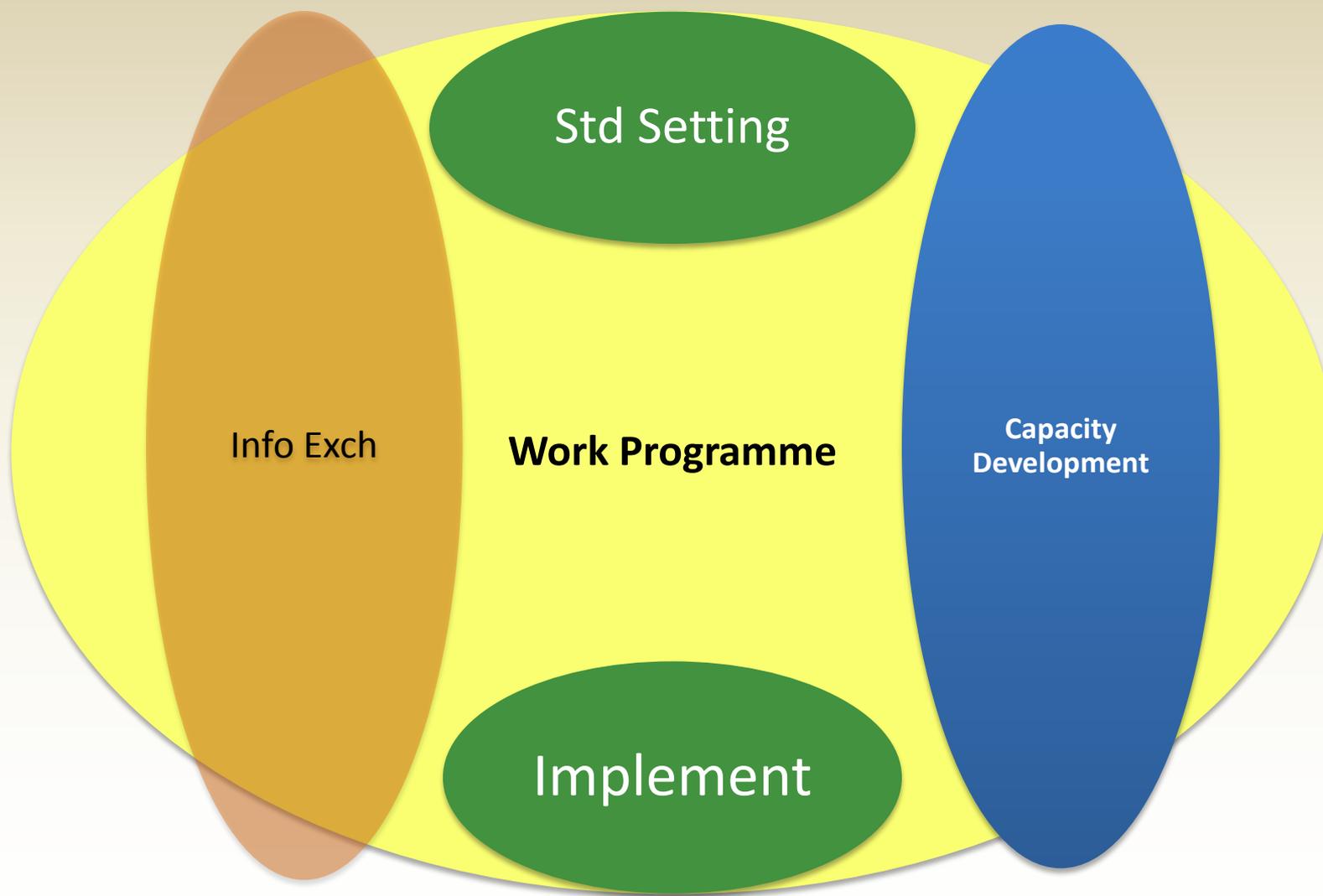


**Bureau**

**Standards Committee (SC)**

**Subsidiary Body for Dispute Settlement (SBDS)**

**Secretariat**



# Strategic Planning

## IPPC Strategic framework: 10 years

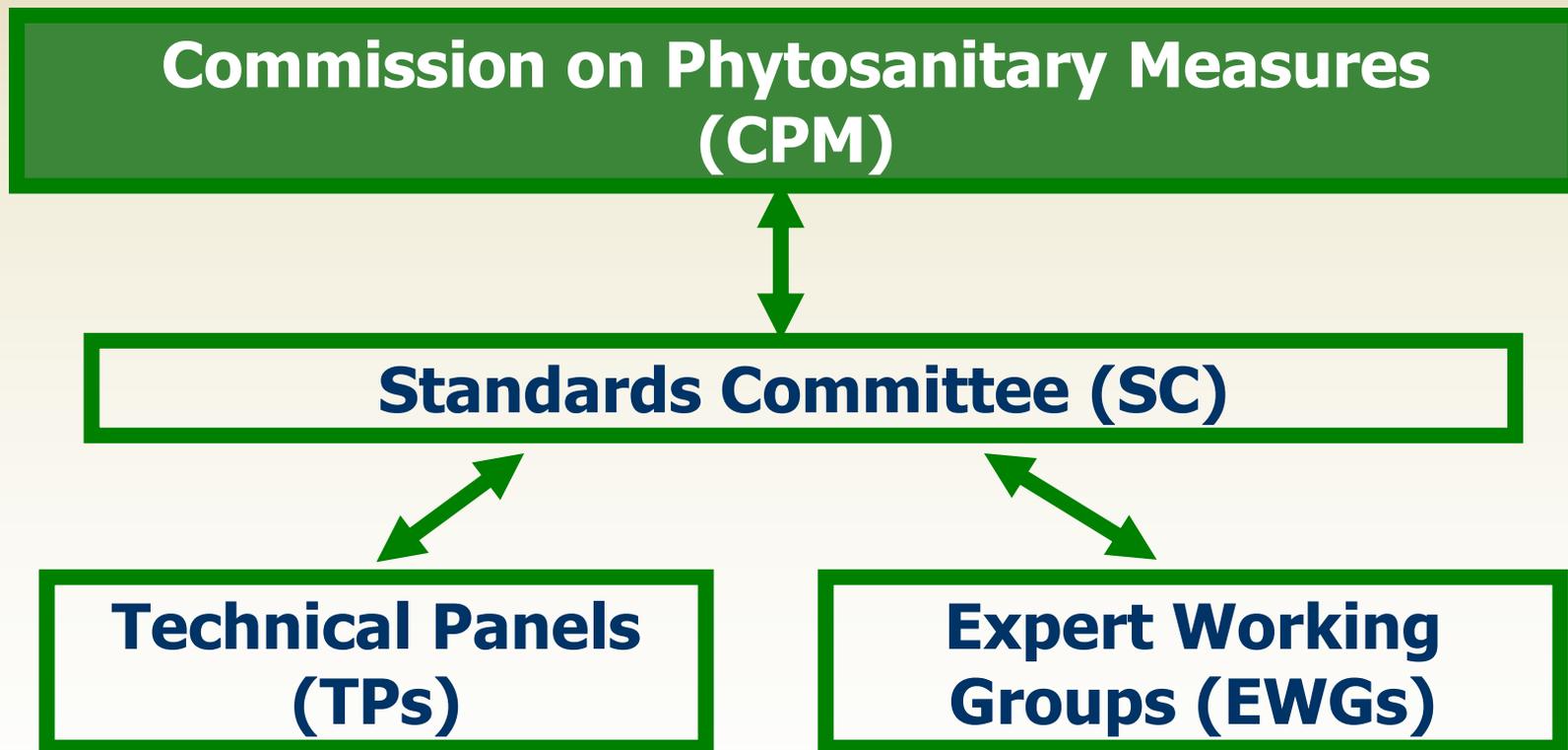
- Medium Term Plan: 4 years
- Biennium
- Annual work plan

## Strategic plans:

- Resource mobilization
- Standard setting
- Communication (including information exchange)
- Capacity development



# Standard Setting



# Standard Setting

## Key function

Topics and priorities

Specifications

Expert Working Groups

Standards Committee

- Technical panels

Member consultation

CPM



# Standard Setting

**Participatory**

**Transparent**

**Flexible**

**Continual improvement**

➤ **3<sup>rd</sup> revision**

**Implementation guides**



# Opportunity to participate

## Stage 1: New topic/Work programme

- |                |   |
|----------------|---|
| New topic      | ← <u>Members</u> (NPPOs, RPPOs),<br><u>SPS Secretary</u> ,<br><u>other organization</u> |
| Review         | ← <u>Region</u> (SC)  |
| Work programme | ← <u>Contracting Parties</u> (CPM)  |

# Opportunity to participate (Cont' )

## Stage 2: Drafting

Specification

← Region (SC)

Comment

← Members (NPPOs, RPPOs)  
international organizations

Approve Spec

← Region (SC)

Draft text

← Experts

Finalize draft

← Region (SC)

## Opportunity to participate (cont.)

### Stage 3: Consultation

- Comment ← Members (NPPOs, RPPOs), international organizations
- Revise draft ← Region (SC)

### Stage 4: Adoption

- Comment ← Members (NPPOs, RPPOs), international organizations
- Adopt ← Contracting Parties (CPM)

# Information exchange

**Separate from SPS obligations - additional**

**National reporting obligations**

**Contracting parties provide:**

- Official contact points
- Official information on pests and phytosanitary measures

**IPPC Secretariat:**

- Provides official documents (ISPMs, reports, etc.)
- Maintains the IPPC website <http://www.ippc.int>

# International Phytosanitary Portal (IPP)

[www.ippc.int](http://www.ippc.int)

**Official website of the IPPC, containing information such as:**

- **Official contact points for NPPOs**
- **Phytosanitary information from countries**
  - Regulations, pest reports, structure of NPPOs, points of entry, etc
- **Phytosanitary news**

**CPM work programme**

- **Official documents (ISPMs, reports, etc.)**

**Related information from international organizations, RPPOs, etc.**

# Information Exchange

## Increased access to crucial information

- Risk assessment
- Support decisions
- Reliability of information

Transparency

Initiation of bilaterals

Trust

Functional relationships



# Capacity Development

## Adopted National Phytosanitary Capacity Development Strategy

- Operational plan / log frame

## EWG Capacity Development

## Coordination

## Quality assurance

## Collaboration

## Resources [www.phytosanitary.info](http://www.phytosanitary.info)

- Projects, experts, consultants, training, implementation guidance

# Dispute Settlement

**Technical basis**

**Non-binding**

- **Complementary to WTO system**

**Informal consultations**

- **Very successful**
- **Consultations, good offices, mediation, arbitration**

**Committee = formal process**



# Phytosanitary Capacity Evaluation (PCE) Tool

## *Personal assessments, consultants and questionnaires*

- *Often not systematic or objective*

## **Consistent basis for evaluating national phytosanitary capacities**

- **National capacity systematically measured against international obligations and norms**
- **Consistent with IPPC and SPS**

## **Instrument to:**

- **guide capacity development needs**
- **measure progress in developing national phytosanitary capacity**

**3<sup>rd</sup> major revision**

**Applied in >90 countries**

# PCE

## A National self diagnostic tool designed to:

- identification of the strengths and weaknesses of a national phytosanitary system
- Analyzes the strengths and weaknesses
- identify strategic directions and activities required to improve the situation over a 10-15 year period
- Identify national priorities
- Base for discussion with donors and trading partners
- Allows development of a national project portfolio



## PCE Scope

**Targeted analysis of the National Plant Protection Organization**

**Involves private and public sectors**

- all relevant institutions
- Encourages industry participation for relevance

**Phytosanitary capacity rationalized against trading requirements**

- Logical rationale

**National phytosanitary strategic plan, and national priorities, determined by overall national capacity and objectives**

# Modular

Operational environment

Inspection

Pest Response

Pest Diagnostic capabilities

Surveillance

# Modular cont.

Legislation

Institutional Issues

Pest Free Areas

Export Certification

Pest Risk Analysis

# Implementation Review and Support System (IRSS)

Members do NOT want a compliance mechanism

- Sticks seldom work

Encourage implementation

- ID gaps and provide solutions
- Facilitate implementation of IPPC and ISPMs

Two components

- Review
  - Evaluate implementation (IPPC & ISPMs)
  - Questionnaire, RPPOs, NPPOs Secretariat, other
- Support
  - “Help Desk” for implementation

# IRSS Help Desk

## Crucial role

## Support to national governments

- across all IPPC areas

## Used to identify gaps and weaknesses

## Types of information:

- FAQs
- Guidance documentation
- Implementation documentation
- Training material (on-line and download)
- Experts database
- Projects database (... donors)



# Sub-Regional Issues

**Stronger regional coordination and cooperation**

## **RPPO**

- **Coordination**
- **Training**
- **Facilitation of IPPC implementation / CD**

**What are your IPPC needs based on a systematic analysis?**

**What can we do to help / support?**

# IPPC Secretariat

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