

联 合 国 粮 食 及 农 业 组 织

Food and Agriculture Organization of the United Nations

Organisation des Nations Unies pour l'alimentation et l'agriculture

Продовольственная и сельскохозяйственная организация Объединенных Наций

Organización de las Naciones Unidas para la Alimentación y la Agricultura

منظمة الأغذية والزراعة للأمم المتددة F

## **COMMISSION ON PHYTOSANITARY MEASURES**

## **Twelfth Session**

Incheon, Republic of Korea, 5-11 April 2017

Adoption of International Standards for Phytosanitary Measures -**Reorganization, Harmonization and Minor Technical Updates of the Fruit Fly ISPMs** 

Addendum to the CPM 2017/19

Agenda item 9.2

Prepared by the IPPC Secretariat

English only

This document is printed in limited numbers to minimize the environmental impact of FAO's processes and contribute to climate neutrality. Delegates and observers are kindly requested to bring their copies to meetings and to avoid asking for additional copies. Most FAO meeting documents are available on the Internet at www.fao.org

1. The IPPC Secretariat presented the reorganization, harmonization and minor technical updates of the suite of IPPC fruit fly standards in document CPM 2017/19, which also included details on the incorporation of ISPM 30 as an annex to ISPM 35.

2. However, the IPPC Secretariat realized only on 3 April 2017 that the attachment to evidence the proposed ink amendments to ISPM 35 *Systems approaches for pest risk management of fruit flies* had not been posted. These ink amendments are presented in Attachment 1 to this paper.

3. It is noted that the ink amendments were reviewed and agreed to by the Standards Committee in May 2016.

- 4. The CPM is invited to:
  - 1. *Note* the consistency and editorial changes (ink amendments) to ISPM 35 contained in Attachment 1.
  - 2. *Note* that the ink amendments, upon approval of the reorganization by CPM, will be translated into all FAO languages. All ink amendments in all languages will be incorporated into the individual standards and the previous versions of the standards revoked.

## ATTACHMENT 1: INK AMENDMENTS TO ISPM 35 (SYSTEMS APPROACH FOR PEST RISK MANAGEMENT OF FRUIT FLIES (TEPHRITIDAE))

## Text moved from ex ISPM 30 is indicated in red text. Black <u>underlined</u> or strikethrough text is changes to existing text or new text, with some extra changes in track changes.

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)   | Explanation for change   |
|--------------|--|--|
| [1]          | Adoption   |  |
| [2]          | This standard was adopted by the Seventh Session of the Commission on Phytosanitary Measures in March 2012.  |  |
| [3]          | INTRODUCTION   |  |
| [4]          | Scope  |  |
| [5]          | This standard provides guide <u>ancelines</u> for the development, implementation and verification<br>of integrated measures in a systems approach as an option for pest risk management of fruit<br>flies (Tephritidae) of economic importance <u>to facilitate trade of fruit fly host products</u> , or to<br>minimize the spread of regulated fruit flies within an area.<br><u>Annex 3, Appendix 1 and Appendix 2 of ISPM 26 also apply to this standard.</u><br><b>References</b> [standard text to be inserted] | The scope of exISPM 30 was integrated into this scope to ensure that there are no overlaps and clarify the connection between the two.<br>Editorial change to avoid the use of "guidelines". |
|              | The present standard refers to International Standards for Phytosanitary Measures (ISPMs).<br>ISPMs are available on the International Phytosanitary Portal (IPP)<br>at https://www.ippc.int/core-activities/standards-setting/ispms.  |  |
| [7]          | Definitions  |  |
| [8]          | Definition of phytosanitary terms used in <u>thisthe-present</u> standard can be found in ISPM 5 ( <i>Glossary of phytosanitary terms</i> ).   | Edits in line with ISPM template text.   |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)  | Explanation for change   |
|--------------|---|--|
| [9]          | Outline of Requirements   |  |
| [10]         | For the development of a <u>fruit fly</u> systems approach for fruit flies (FF <sub>2</sub> -SA), the relationship between host, target fruit fly species and the area of production of the host fruits and vegetables <sup>1</sup> should be considered. The options for pest risk management measures should be determined by means of pest risk analysis (PRA).  | Editorial corrections (grammar; hyphen added to FF-SA for clarity (that it's one term) and consistency with FF-ALPP and FF-PFA) – the change has been made throughout this document without being further noted).  |
| [11]         | An FF <sub>-</sub> -SA includes at least two independent measures, which may be applied throughout various stages of the process, specifically during the growing period and harvest; post-harvest and transportation; and entry and distribution within the importing country. An FF <sub>-</sub> -SA may be developed in an area of low pest prevalence or temporary or localized pest absence of the target fruit fly species in combination with other measures (such as selection of less susceptible hosts, crop management practices or post-harvest handling) to reduce pest risk to meet the phytosanitary requirements of the importing country.  |  |
| [12]         | The general requirements Guidance for the establishment and maintenance of an fruitfly area of low pest prevalence for fruit flies (FF-ALPP) is available in Annex 1.For the establishment of the FF-ALPP, pParameters used to estimate the level of fruit flyprevalence for the establishment of an FF-ALPP and the efficacy of trapping devices forsurveillance should be determined following the information as stated-in Annex 42.Surveillance, control measures and corrective action planning are required for both theestablishment and the maintenance of an FF-ALPP. Corrective action planning is describedin section 8 of Annex 1in this standard.Other specific requirements include phytosanitary procedures, as well as suspension,<br>reinstatement and less-revocation-and-reinstatement of the status of the FF-ALPP. | The general requirements of ex-ISPM 30 have been integrated and the<br>numbers of the annexes and appendices present there which have<br>been integrated into ISPM 35 renumbered where appropriate.<br>Correction: section 8 of Annex 1, not of the core standard.<br>Further editorial corrections (for consistency and clarity). |
| [13]         | For development, implementation and verification of an FF <sub>2</sub> -SA, operational procedures are necessary. Conformity with these procedures should be ensured and verified by the national plant protection organization (NPPO) of the exporting country. Procedures should be monitored during the implementation and corrective actions should be taken in case of non-conformity.   |  |
| [14]         | The development, implementation and verification of an FFSA should be adequately documented and the documentation reviewed and updated when necessary by the NPPO of  |  |

<sup>&</sup>lt;sup>1</sup> Fruits and vegetables hereafter are referred to as fruits.

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)  | Explanation for change  |
|--------------|---|---|
|              | the exporting country.  |   |
| [15]         | BACKGROUND  |   |
| [16]         | Many species of fruit flies of the family Tephritidae are pests of economic importance and their introduction may pose a pest risk. To identify and manage the target fruit fly species risk, a PRA should be conducted by the NPPO of the importing country and phytosanitary measures may be applied (ISPM 2 ( <i>Framework for pest risk analysis</i> ):-2007; ISPM 11 ( <i>Pest risk analysis for quarantine pests</i> ):-2004).  | This editorial change was agreed to because the ISPM relates to this pest family.<br>Editorial corrections (ISPM titles are given on first mention).  |
| [17]         | Systems approaches have been developed as pest risk management measures in situations where a single measure is not available or practicable, or in cases where a systems approach is more cost-effective than the single measure available. The decision to implement a specific FF_SA depends on the particular relationship between the host fruit, the target fruit fly species and the specified fruit production area.  |   |
| [18]         | A systems approach requires a combination of at least two measures that are independent of each other, and may include any number of measures that are dependent on each other (ISPM 14 ( <i>The use of integrated measures in a systems approach for pest risk management</i> ):2002). Areas of low pest prevalenceALPPs may also be used as part of a systems approach (see ISPM 14:2002, and; ISPM 22 ( <i>Requirements for the establishment of areas of low pest prevalence</i> ):2005, -which describes different types of areas of low pest prevalenceALPPs and provides general guidance on their establishment of ALPPs).<br>-An FF-ALPP is often used in a systems approach to reach the necessary level of protection (Annex 1-of this standard). Treatments used in an FF_SA are those not considered sufficiently efficacious to be applied as a single measure. The measures may be applied in different places at different times and may therefore involve a number of organizations and individuals.<br><b>IPPC-p</b> Phytosanitary treatments adopted as annexes to ISPM 28 ( <i>Phytosanitary treatments adopted as annexes to ISPM 28 (Phytosanitary treatments adopted as annexes to ISPM 28 (Phytos</i> | Text added to clarify the connection between ISPM 30 and the new<br>Annex 1.<br>The panel also felt that a direct linkage with ISPM 28 and the use of<br>PTs was essential to provide the necessary guidance to NPPOs – PTs<br>should always be part of a systems approach.<br>Editorial corrections. |
|              | treatments for regulated pests) may be useful tools in a systems approach (ISPM 28).  |   |
| [19]         | Often, countries have used phytosanitary measures such as treatments or <u>fruit fly</u> pest free areas for <u>fruit flies</u> -(FF-PFAs) (ISPM 26_( <u>Establishment of pest free areas for fruit flies</u> ( <u>Tephritidae</u> )):2006) to support import or movement of host fruit. In other cases, prohibition has been applied. An FFSA may be an alternative to facilitate the export and movement of fruit fly hosts into endangered areas. NPPOs may recognize FFSAs as being equivalent to   | Editorial correction (for consistency, to define FF-PFA as it was in ISPM 26).  |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)   | Explanation for change  |
|--------------|--|---|
|              | single measures. The exporting country may seek formal approval of equivalence of these measures with the importing country. In cases where an effective FF <sub>2</sub> -SA has been implemented, components of those systems may be used by other importing and exporting countries to facilitate the movement of fruit from areas with similar conditions.  |   |
| [20]         | An FF <sub>-</sub> -SA can be applied in an area of fruit production as small as a production site or as large as a country.   |   |
| [21]         | REQUIREMENTS   | Inserted heading as per ISPM template sequence of headings.   |
|              |  | The Secretariat also notes that there is no section on IMPACTS ON<br>BIODIVERSITY AND THE ENVIRONMENT" after the BACKGROUND<br>section. |
| [22]         | 1. Decision to Implement an FF-SA  |   |
| [23]         | It is the responsibility of the importing country to establish and communicate its technically justified phytosanitary import requirements. A combination of pest risk management measures integrated into an FF <sub>2</sub> -SA is one of the options that the importing country may select as the basis for phytosanitary import requirements (ISPM 14 <del>:2002</del> ).  |   |
| [24]         | The development of an FFSA is the responsibility of the NPPO of the exporting country. An FFSA may be developed and implemented in cases where:  |   |
| [25]         | (1) The importing country, in its phytosanitary import requirements, specifies a systems approach to be used in the exporting country.   |   |
| [26]         | (2) The importing country does not explicitly require a systems approach, but the NPPO of the exporting country deems a systems approach to be a suitable and effective approach for achieving the importing country's phytosanitary import requirements. The exporting country may need to negotiate formal approval of the equivalence of measures with the importing country (ISPM 24 ( <i>Guidelines for the determination and recognition of equivalence of phytosanitary measures</i> ):2005). |   |
| [27]         | An FFSA should have the appropriate combination of measures to achieve the appropriate level of protection. They should be scientifically sound and be selected to meet the phytosanitary import requirements. Aspects of operational feasibility include cost-effectiveness of the measures to be applied while seeking to impose the least restrictive   | Editorial correction.   |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)  | Explanation for change  |
|--------------|---|---|
|              | measures necessary to manage target fruit fly species riske.  |   |
| [28]         | The fruit production area proposed for implementing an FFSA should be defined and the participating producers should be approved by the NPPO of the exporting country.  |   |
| [29]         | It may be advisable that NPPOs involve other stakeholders in the development of an FFSA (ISPM 2 <del>:2007</del> ).   |   |
| [30]         | Basic information required for the development of an FFSA includes the following:   |   |
| [31]         | <ul> <li>The host should be identified to the species level. In cases, where risk varies with<br/>the variety (e.g. because of varying tolerance to infestation), the hosts should be<br/>identified to the variety level (ISPM XX).</li> </ul> | The panel agreed that reference to the ISPM on host status would be helpful.<br>Editorial corrections.  |
| [32]         | <ul> <li>The stage of maturity of the fruit being examined is relevant (e.g. physiologically<br/>mature bananas are recognized as not being suitable hosts for fruit flies[SPM XX).</li> </ul>  | The panel agreed that reference to the ISPM on host status would be<br>more helpful than the specific example because it elaborates on the<br>host issue. This allows for enhanced consistency between this<br>standard and the host status and avoids any confusion. |
| [33]         | <ul> <li>Data on the target fruit fly species associated with the host should be available<br/>(such as scientific name, pest incidence and its fluctuation, and host<br/>preference <u>Annex 1</u>).</li> </ul>                                | The information in this bullet is repeated and elaborated in exISPM 30 and the panel agreed to avoid overlap and duplication by only referring to Annex 1 (exISPM 30).  |
| [34]         | - The fruit production area defined for implementing an FF-SA should be described and adequately documented with particular attention to host distribution in commercial areas as well as non-commercial areas, if appropriate.                 |   |
| [35]         | In practice, FFSAs may be applied to one or more hosts or target fruit fly species in the same fruit production area.   |   |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)  | Explanation for change  |
|--------------|---|---|
| [36]         | 2. Development of an FF <sub>-</sub> -SA  |   |
| [37]         | Measures may be applied at various stages from production of fruit within the exporting country to distribution within the importing country. The NPPO of the importing country may also implement one or more measures on arrival of the consignment. Measures applied at the different stages to prevent fruit fly infestation <u>may include those described below.</u> may include: | Editorial correction (to remove the colon, because the first level headings are not structured as first level list points). |
| [38]         | Pre-planting:   | Editorial correction.   |
| [39]         | <ul> <li>selecting planting sites with low pest incidence of target fruit fly species (e.g. <u>FF-ALPPsareas of low pest prevalence</u>, areas unsuitable because of geographic<u>al</u> location, altitude, <u>or</u> climate)</li> </ul>  | Editorial corrections.  |
| [40]         | - selectingion of less susceptible fruit species or varieties   | Editorial correction.   |
| [41]         | - <u>s</u> Sanitation   | Editorial correction.   |
| [42]         | - managing hosts other than the crop  |   |
| [43]         | - intercropping with non-fruit fly host plants  |   |
| [44]         | <ul> <li>growing host fruit during specific periods when the pest incidence of target fruit fly<br/>species is low or temporally absent.</li> </ul>   |   |
| [45]         | Growing period:   | Editorial correction.   |
| [46]         | - flowering control and timing of fruit production  | Editorial correction.   |
| [47]         | <ul> <li>chemical control such as insecticide bait treatments, bait stations <u>and</u>, male<br/>annihilation technique, and biological control such as natural enemies</li> </ul>   | Editorial correction.   |
| [48]         | - physical protection mechanisms (e.g. bagging fruit, fruit fly protected structures)   |   |
| [49]         | - sterile insect technique  |   |
| [50]         | - mass trapping   |   |
| [51]         | - management of non-commercial hosts within the production area (e.g. elimination or  |   |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)  | Explanation for change                                 |
|--------------|---|--|
|              | replacement of other host plants by non-host plants where appropriate)  |  |
| [52]         | <ul> <li>monitoring and survey of the target fruit fly species (e.g. using trappings or fruit sampling)</li> </ul>  | Editorial correction (for consistency of terminology). |
| [53]         | <ul> <li>sanitation (i.e. collection, removal and appropriate disposal of fallen fruit from the<br/>orchard or removal of mature fruit from the tree)</li> </ul>  |  |
| [54]         | - fruit stripping.  |  |
| [55]         | Harvest:  | Editorial correction.                                  |
| [56]         | - harvest at a specific stage of fruit development or time of the year  |  |
| [57]         | - safeguarding activities to prevent infestation at harvest   |  |
| [58]         | - <u>s</u> eurveillance, including fruit cutting  | Editorial corrections.                                 |
| [59]         | - sanitation (e.g. safe removal and disposal of fallen fruit).  |  |
| [60]         | Post-harvest and handling:  | Editorial correction.                                  |
| [61]         | <ul> <li>safeguarding activities to prevent infestation, for example chilling fruit, refrigerated<br/>transport, processing in screen-protected packing rooms, warehouses and transit<br/>conveyances, using cold storage, wrapping of fruit</li> </ul> |  |
| [62]         | <ul> <li>monitoring for target fruit fly species absence by trapping in and around packing houses</li> </ul>  |  |
| [63]         | - sanitation (e.g. removal of fruit with signs of infestation (culling) in packing houses)  |  |
| [64]         | - sampling, inspection (e.g. by fruit cutting) or testing   |  |
| [65]         | - treatments that are not considered sufficiently efficacious as a single measure   |  |
| [66]         | - packing requirements (e.g. using insect-proof packaginges)  | Editorial correction (Glossary term).                  |
| [67]         | - ensuring traceability of lots.  |  |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)   | Explanation for change  |
|--------------|--|---|
| [68]         | Transportation and distribution:   | Editorial correction.   |
| [69]         | <ul> <li>safeguarding activities to prevent target fruit fly species infestation</li> </ul>  |   |
| [70]         | <ul> <li>treatments that are not considered sufficiently efficacious as a single measure<br/>(<u>beforeprior to</u>, during or after transport)</li> </ul>   | Editorial correction.   |
| [71]         | - <u>limiting</u> distribution <u>limited</u> geographically or seasonally to areas where or periods when target fruit fly species cannot establish or where suitable hosts are not present.   | Editorial correction.   |
| [72]         | Measures applied to several or all stages:   | Editorial correction.   |
| [73]         | <ul> <li>community awareness programmes to generate support from the public</li> </ul>   |   |
| [74]         | - movement control of movement of host fruit and other pathways into the area (e.g. requirements for production sites or islands).   | Editorial correction.   |
| [75]         | 3. Documentation and RecordKeeping   | Editorial correction (remove hyphen).   |
| [76]         | The development, implementation and verification of an FF <sub>-</sub> SA should be properly documented by the NPPO of the exporting country. The roles and responsibilities of the NPPOs of the exporting and importing countries should be specified and documented. The documentation and records should be reviewed and updated regularly, maintained for at least 24 months and made available to the NPPO of the importing country upon request. |   |
| [77]         | Documentation may include:   |   |
| [78]         | <ul> <li>phytosanitary import requirements and, if available, a report of the <u>PRApest risk</u><br/>analysis</li> </ul>  | Editorial correction (abbreviation use – PRA was defined earlier in the standard and once an abbreviation is defined, it should be used). |
| [79]         | <ul> <li>identificationying and description bing of the measures for reducing risk</li> </ul>  | Editorial correction (parallel list structure).   |
| [80]         | <ul> <li>description of the requirements for <u>thean FF SA's</u> operational procedures <u>of an FF-SA</u></li> </ul>   | Editorial correction (possessive abbreviations should be avoided).  |
| [81]         | <ul> <li>description of the area intended for an FF_SA</li> </ul>  |   |
| [82]         | <ul> <li>descriptions of host fruit to be exported and target fruit fly species</li> </ul>   | Editorial correction.   |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)   | Explanation for change  |
|--------------|--|---|
| [83]         | <ul> <li>details of the organizations involved and their roles and responsibilities and any<br/>linkages, including for example:</li> </ul>  |   |
| [84]         | registration of organizations involved or stakeholders   |   |
| [85]         | agreement to cooperate in surveillance and control procedures  |   |
| [86]         | <ul> <li><u>evidence of</u> conformity with FF<u>-</u>-SA requirements (origin of fruit, movement<br/>from place of production, selection and packing of fruit, transportation and<br/>safeguarding of the fruit)</li> </ul> | Editorial correction (for sense, consistency).  |
| [87]         | agreement to take appropriate corrective actions   |   |
| [88]         | · keeping-records keeping and availabilityand making them available  | Editorial correction.   |
| [89]         | - description of pest surveillance and control programme   | Editorial correction (for sense, to make it an item of documentation as per chapeau).   |
| [90]         | - survey results   |   |
| [91]         | <ul> <li>training programme for FF<sub>-</sub>-SA participants</li> </ul>  |   |
| [92]         | - traceability procedures  |   |
| [93]         | - technical basis for specific procedures  |   |
| [94]         | - survey, detection and diagnostic methodology   |   |
| [95]         | - description of corrective actions and records of follow-up   |   |
| [96]         | <ul> <li>reviews of the implementation of an FF_SA</li> </ul>  |   |
| [97]         | - contingency plans.   |   |
| [98]         | 4. Verification  |   |
| [99]         | The measures in an FFSA should be implemented in accordance with the officially approved phytosanitary procedures and should be monitored by the NPPO of the exporting   | Editorial corrections. On "official", IPPC Style Guide says:<br>"Anything "established, authorized or performed by an NPPO" is by |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)   | Explanation for change   |
|--------------|--|--|
|              | country to ensure the system achieves its objectives.  | definition "official". Many Glossary terms are defined as "official" (e.g. area, inspection, phytosanitary action, phytosanitary measure, quarantine, surveillance, test, treatment). It is therefore recommended not to use the word "official" where it is redundant." |
| [100]        | The NPPO of the exporting country has the responsibility to monitor the implementation and the effectiveness of all stages of an FF <sub>-</sub> SA. In cases where the operational procedures of an FF <sub>-</sub> SA were properly implemented, but one or more of the components did not provide sufficient pest risk management to give the required effectiveness of all stages, a revision of the an FF <sub>-</sub> SA should be conducted to ensure that phytosanitary import requirements are met. This revision may not necessarily involve the suspension of trade. Other components of the an FF <sub>-</sub> SA may not need to be verified again. The frequency of verification should be influenced by the design of the FF <sub>-</sub> SA. | Editorial corrections.   |
| [101]        | The NPPO of the importing country may audit an FFSA in agreement with the NPPO of the exporting country.   |  |
| [102]        | 5. Tolerance Level   |  |
| [103]        | In many cases, the basis for developing an FF <sub></sub> SA may be that the target fruit fly species incidence is kept at or below a tolerance level (in connection with fruit flies, the term "specified pest population level" has sometimes been used instead of "tolerance level") specified by the NPPO of the importing country in the defined area, for example an <del>area of low pest prevalence (FF</del> -ALPP <del>)</del> . This may be as a result of a naturally low target fruit fly species incidence or as a result of the implementation of control measures.   | Editorial correction.  |
| [104]        | Evidence to support that the target fruit fly species incidence beingis kept at or below the specified tolerance level may be required and, if so, should be obtained as a result of trapping <u>orand</u> fruit sampling. Surveillance of target fruit fly species incidence may be conducted not only during the growing period of the host fruit but also during non-growing periods.   | Editorial corrections.   |
| [105]        | 6. Non-conformity and Non-compliance   |  |
| [106]        | Non-conformity involves incorrect implementation or failure of an FF <sub>-</sub> SA. In such cases, the NPPO of the exporting country may suspend the trade from the non-conforming component of the FF <sub>-</sub> SA until corrective actions have been taken to address the non-conformity. Non-conformity may occur in one or more stages of an FF <sub>-</sub> SA. It is important to identify at which stage the non-conformity has occurred.  |  |

| Para.<br>No. | Proposal for consistency change (underline = addition; strikethrough = deletion)   | Explanation for change |
|--------------|--|------------------------|
| [107]        | The NPPO of the exporting country should notify the NPPO of the importing country of any non-conformity that may have affected a shipment or phytosanitary certification.                                      |                        |
| [108]        | The NPPO of the importing country should notify the NPPO of the exporting country of any cases of non-compliances (see ISPM 13 (Guidelines for the notification of non-compliance and emergency action):2001). | Editorial correction.  |