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International Plant Protection Convention
Protecting the world's plant resources from pests

Preparatory Meeting for the Implementation and Capacity Development Committee (IC)

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IPPC Secretariat

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1. Decisions of the CPM12 on the IC

1.1 Terms of Reference and Rules of Procedure of the Implementation and Capacity Development Committee (IC)

- [1] The IPPC Secretariat presented TOR and ROP of the IC with the amendments suggested by the FAO LEGA officer. The amendments were mainly of an editorial character and aimed to align the TOR and ROP with the FAO good practices.
- [2] The CDC and the CPM Bureau representative thought that amending of the document approved by the CPM would cause a new round of discussions that is not advisable at this stage. The suggestion was made to keep the TOR and ROP of the IC as adopted by the CPM12. The CDC Chair advised that the IC might take those amendments into account at the later stages once the IC is established and operating, as there is a provision for amendment when necessary.
- [3] The CDC:
- (1) *Agreed* not to make any changes to the Terms of Reference and Rules of Procedure of the Implementation and Capacity Development Committee (IC).
 - (2) *Noted* the TOR and ROP of the IC as adopted by the CPM12.

1.2 Discussion how National Reporting Obligations Advisory Group (NROAG), Triennial Review Group (TRG) and Subsidiary Body on Dispute Settlement (SBDS) will be integrated within the IC - recommendations to the CPM Bureau

1.2.1 SBDS

- [4] The CDC examined whether the roles and functions of sub-groups should be absorbed by the IC or whether the sub-groups be established under the IC on ad hoc basis with appropriate TOR and ROP for each. The sub-groups to be overseen by the IC were then compared to the structure of sub-groups that exist under the Standards Committee (SC). It was also discussed whether the appointment of stewards as it works within the SC would be advisable for the coordinating work of a specific area/topic and making recommendations for decision by the IC.
- [5] The Secretariat thought that the main considerations should be given to principal functions of the SBDS to be absorbed by the IC. The CDC members highlighted that a special emphasis should be given to dispute avoidance as an effective implementation outcome, and then the principal issue to decide is how the IC operates when a dispute comes to the committee.
- [6] The CDC suggested the following options for the SBDS integration into the IC:
- (1) *Take* on board current structure of the SBDS with ability to establish ad-hoc groups to address disputes with financial resources.
 - (2) *Focus* on dispute avoidance with possibility for the IC to provide rapid recommendations for dispute settlement.
 - (3) *Disputes go* to the WTO with the IPPC as a service provider for expert advice.
 - (4) *Any option selected* by the IC or combination of options should get the approval of CPM.

1.2.2. IRSS TRG

- [7] It was agreed by the CDC that the TRG was not necessary to be integrated in the IC, as it was composed of existing subsidiary bodies chairs. With the new structure of the IC, the CDC considered the TRG as obsolete since the IC can now provide the necessary inputs directly to the IRSS. It was suggested that issues of the IRSS are kept as a standing item on the IC agenda. That would cover review of IRSS products and oversight of the work plan.

[8] The CDC suggested:

- (1) TRG to be *dissolved* and not to be integrated in the IC.
- (2) *Including* the IRSS oversight as a standing item on the IC agenda with the coverage of review of IRSS products and oversight of the work plan.

1.2.3. NROAG

[9] The CDC recalled task of the NROAG. It was highlighted that the NROAG mainly works virtually and has not been very active.

[10] The CDC concluded that the membership to the NROAG is already similar to the IC structure and that additional membership is not necessary.

[11] The CDC suggested that the first activity of the IC would be to review the IPPC work programme of the NRO. The IPPC Secretariat should provide the clear outline of products and timelines that requires input of the IC. Then the IC would consider the workload over a year to determine if they should establish a task force or other modality for specific oversight. The NRO should be a standing item on the agenda of the IC.

[12] The CDC proposed:

- (1) *To defer* the discussion on NROAG to the IC.
- (2) IC *reviewing* the work programme of NRO and obtaining from the IPPC Secretariat a clear outline of products and timelines that requires input of the IC.
- (3) The IC to *determine* whether to establish a task force or other modality for specific oversight of NROs based on the anticipated workload.

1.3 Joint SC/IC call for topics for standards and issues for implementation

1.3.1 Justification and mechanism for the call including criteria for topics

[13] The CDC discussed the background and issue and recommended.

[14] General considerations for the Call:

- (1) Propose to change the title of the call to - 'A call for phytosanitary issues'.
- (2) Current ISPM call for topics template to be adapted to include implementation criteria.
- (3) A joint task force (JTF) between the IC and SC to be established with the responsibility to assess submissions received from the call and develop recommendations.
- (4) Recommendations to be submitted to the SC and IC concurrently.
- (5) SC and IC to provide conclusions on the recommendations to the task force.
- (6) The task force meets again to review SC and IC conclusions before final recommendations are sent to the SPG.
- (7) The final recommendations of the task force assessment is made available to contracting parties on the IPP.
- (8) SPG reviews and endorses the final recommendations of the task force.
- (9) Based on the first call and the assessment, lessons learned are produced.
- (10) Modifications are made to related SC procedures regarding the selection and prioritization of topics.

1.3.2 Call

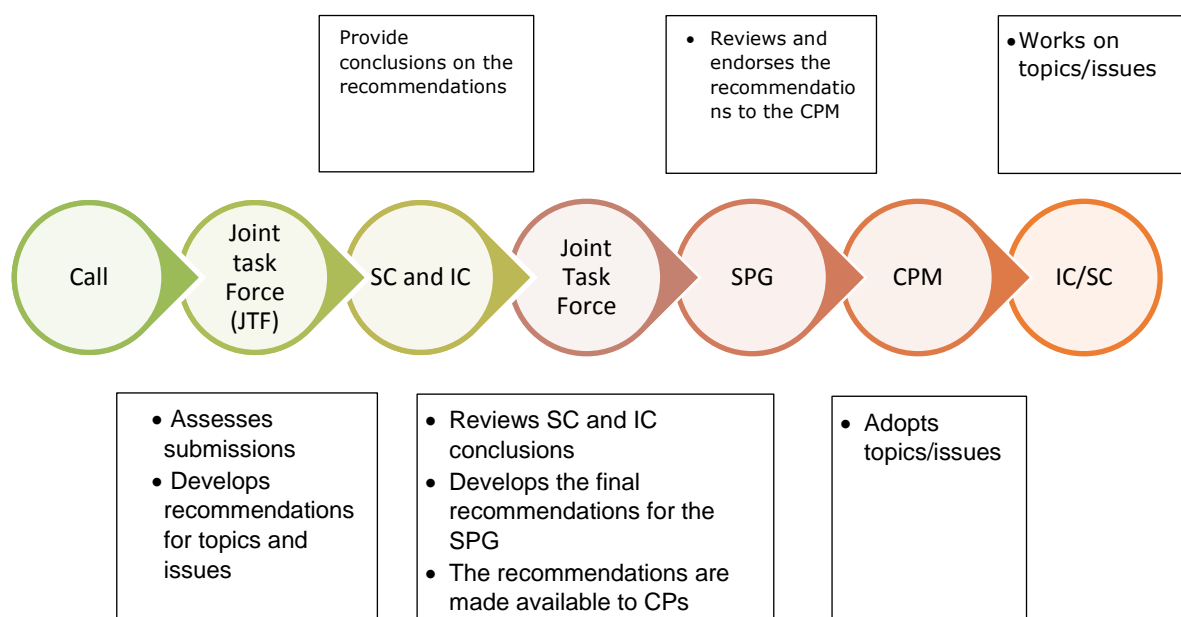
- [15] A call can be made for - new issues, selected topics on the work plan and adopted standards:
- (1) Propose to extend the period between calls to every three years on any of these issues or combination thereof.
 - (2) Ask contracting parties to specify what type of resource (ISPM, implementation resource or other) they are requesting.
 - (3) Propose for the call to be initiated in January, instead of current practice, to allow for appropriate engagement of the joint task force and SC/IC according to the proposed work flow process.

1.3.3 Analysis of submissions received

- [16] The Framework for Standards and Implementation is used for the analysis of submissions received.
- [17] As appropriate, the IRSS may be called upon to investigate an issue further, field missions for validation of feasibility or other analytical processes might be employed.
- [18] Considerations are given to stakeholders affected by an issue (wide reaching) and to global applicability.
- [19] An appropriate format is developed to report the assessment outcomes of issues.

1.3.4 Follow-up actions

- [20] After a priority is set by CPM, then the IC must plan to undertake the appropriate actions to address an issue (e.g. development of guidelines or a capacity development workshop).
- [21] The development of guidelines or organization of work to address the issue must be planned to include allocation of resources.
- [22] CPM discussion of issues provides transparency to the process of prioritization for development of ISPMs or implementation resources.
- [23] To look at the implications of this new process, cost to the IPPC Secretariat and workload of the IC/SC.
- [24] In determining follow up actions, the following was highlighted for consideration by the IC:
- (1) General understanding that topics/issues can only be developed if resources are available.
 - (2) CPM is to decide on the prioritization of topics for standards and consider implementation issues.
 - (3) The IC will develop a specific list and types of resources to address those implementation issues considered feasible by the CPM.
 - (4) The work plan of the IC is not only guided by this work but by other priorities identified.
- [25] The CDC suggested Work flow for the Joint call:



1.4 Operation framework for the IC based on its scope from the TOR

- [26] Based on the IRSS review of contracting party implementation, PCE applications outcomes and other assessment reporting, the IC will identify and review baseline capacity and capability required by contracting parties to implement the Convention (every three years based on IRSS analysis to provide the primary baseline information, incorporating the M&E outcomes).
- [27] The outcomes of reviewing baseline capacity and capability, the IC recommendations and the call outcomes and follow-up actions will be used to develop and facilitate the delivery of an implementation support programme to enable contracting parties to meet and enhance the baseline capacity and capability.
- [28] Under the IPPC/IRSS Monitoring and Evaluation (M&E) framework, the impact of implementation will be monitored and evaluated to report on progress indicating the State of Plant Protection in the World.
- [29] The IPPC Resource Mobilization Task Force (RMTF) will meet with the IC to inform on the situation of potential donors to secure sustainable funding for its activities. This will coincide with the second IC meeting each year.
- [30] To oversee dispute avoidance and settlement process the IC will decide whether:
- (1) To take on board current structure of the SBDS with ability to establish ad-hoc groups to address disputes with financial resources;
 - (2) Focus on dispute avoidance with possibility for the IC to provide rapid recommendations for dispute settlement;
 - (3) Disputes go to the WTO with IPPC as a service provider for expert advice;
 - (4) Any option selected by the IC or combination of options should get the approval of CPM.
- [31] To oversee national reporting obligation processes the IC will review the work programme of NRO and obtain a clear outline of products and timelines that requires input of the IC from the IPPC Secretariat. Then the IC will determine whether to establish a task force or any other modality for specific oversight of NROs based on the anticipated workload.
- [32] The IC will meet twice a year (mid-year hosted by a contracting party and end-year in Rome).
- [33] There will be a joint task force formed with the SC to assess calls for issues.

- [34] The IC will absorb the NRO functions as part of their regular agenda.
- [35] The IC will form an ad-hoc SBDS group when necessary and include dispute avoidance as part of their regular agenda.
- [36] The IC will absorb the TRG functions the IRSS will be included as part of their regular agenda.

1.5 Priority tasks for the IC – contribution of the CDC based on the discussions of the first three days of the CDC meeting

- [37] The CDC identified lessons learnt and priority tasks that might be useful for the IC to consider:

| N | Lessons learnt and priority task | Comments |
|----|--|--|
| 1 | Advise RPPOs and NPPOs to include capacity development and implementation related activities, events and trainings in the Phytosanitary Resources page calendar | Contracting parties may need assistance on how to access the webpage and upload information |
| 2 | Support the development, dissemination and analysis of the questionnaire on plant pest diagnostics | |
| 3 | Make the final decision on the architecture and updating of the phytosanitary resource page to the IC | |
| 4 | Decide whether a new procedure to be introduced for removing phytosanitary resources from the page that have not been visited for a certain period. | |
| 5 | Consider how the IRSS should analyze different aspects of implementation benefits in the future as part of its annual work plan | |
| 6 | To be a steering committee of the project Beyond Compliance Global - Sharing Tools for Enhanced Application of Systems Approach and Market Negotiation on Plant Pest Risk | |
| 7 | Review the draft guide and eLearning modules on the pest free areas when developed | |
| 8 | Communicate the risk of e-Commerce and contribute to the awareness raising of contracting parties in their regions | |
| 9 | Action items should be assigned to specific IC members to champion during period between meetings. Each member will coordinate the necessary action for completion | |
| 10 | Consider how the IC can cluster requests, such as providing case studies, from the IPPC Secretariat for the production of technical resources | |
| 11 | Think of ways to communicate on a national and regional level (due to lack of responses when contacted), as necessary | |
| 12 | Establish a mechanism for information dissemination, to ensure there is communication from regional to national | Could be done by the regional member of the IC, they will act as a conduit |
| 13 | To add a point in the Rules of Procedure on – commitment of the IC member to RPPO(s) in each region (with a formal introduction and communication mechanism) and the FAO plant protection officer in each region, for the same reason. | |
| 14 | Communicate more effectively with the SC and the RPPOs' representatives to the IC | Representation of RPPOs will rotate between meetings, which will need to be coordinated by the |

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| | | TC-RPPO (as a standing agenda item in their meeting). |
| 15 | Capacity development for the management of pests should be done from the national level outwards. There should be strong commitment from countries, using the resources they already have, instead of solely relying on international support. | This information to be passed onto RPPOs and NPPOs for their information and necessary action. |
| 16 | Consider how the spread of pests is prevented and minimized intra and inter-regionally | |
| 17 | The IC should be emphasised more at IPPC Regional Workshops to demonstrate how the committee helps contracting parties implement the Convention and ISPMs | |
| 18 | Discuss whether the IC should seek contracting party authorization for contribution technical resources to the Phytosanitary Resources webpage | |
| 19 | Consider the use of the Beyond Compliance tool in relation to dispute avoidance and dispute settlement | |
| 20 | Consider how the IC will address e-Commerce issues (whether it is as communication of risks and awareness raising or other activities) | |
| 21 | Consider how the IC will oversee the ePhyto steering group | |
| 22 | Consider assessment of current list of topics for draft ISPMs | |
| 23 | Review the CDC suggestions for the joint SC/IC call for topics for standards and issues for implementation | |
| 24 | Review the operation framework for the IC recommended by the CDC | |
| 25 | Develop criteria for joint call | |
| 26 | Establishment of the working group for the SC and IC joint call | |
| 27 | Propose the timeline of the joint call | |
| 28 | Recommend how the NRO and its work programme should be integrated under the IC framework | The CDC recommended: The IC reviewing the work programme of NRO and obtaining from the IPPC Secretariat a clear outline of products and timelines that requires input of the IC The IC to determine whether establish a task force or other modality for specific oversight of NROs based on the anticipated workload |
| 29 | Detail integration of the SBDS into IC including its functions and operation | The CDC recommended the following options : - Take on board current structure of the SBDS with ability to |

| | | |
|----|---|---|
| | | <p>establish ad-hoc groups to address disputes with financial resources</p> <ul style="list-style-type: none"> - Focus on dispute avoidance with possibility for the IC to provide rapid recommendations for dispute avoidance - Disputes go to the WTO with the IPPC as a service provider for expert advice - Any option selected by the IC or combination of options should get the approval of CPM |
| 30 | Discuss the oversight functions of the IC for the IRSS project based on the CDC recommendations | The CDC recommended including the IRSS oversight as a standing item on the IC agenda with the coverage of review of IRSS products and oversight of the work plan |
| 31 | Reflect implementation issues revealed by the survey in the IC activities | |

1.6 CDC work programme until the IC is established

| N | Task | Responsible | Deadline |
|---|--|---|----------------|
| 1 | Investigate the options for providing the IPPC Secretariat with in-kind contribution for updating the Phytosanitary Resources page particularly from Estonia and Australia | All CDC Members Representative for Europe Representative for the Pacific Region | July 2017 |
| 2 | Submit ideas for communication of the STDF 401 project outcomes to the IPPC Secretariat | All CDC members | September 2017 |
| 3 | Consider any aspects of the Global emerging issues questionnaire findings to be included in the second cycle TRR report | All CDC members | June 2017 |
| 4 | Provide examples of potential resource partners for inclusion in the IPPC Guide to Resource Mobilization: Promoting contracting party partnerships | All CDC members | July 2017 |
| 5 | Promote the factsheet on <i>Xylella fastidiosa</i> | All CDC members | All year |
| 6 | The CDC Chair to provide interview to be included in the STDF 401 promotional video | All CDC members | June 2017 |
| 7 | Identify experts through their networks who could contribute to the review of the Plant health and soil paper | All CDC members | June 2017 |
| 8 | Provide risk communication case studies, demonstrating good practices for inclusion in the IPPC Risk communication guideline | All CDC members | July 2017 |
| 9 | Collect information on possible participant countries of the project Beyond Compliance | All CDC members | July 2017 |

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| | Global - Sharing Tools for Enhanced Application of Systems Approach and Market Negotiation on Plant Pest Risk | | |
| 10 | Identify and share with the IPPC Secretariat information on relevant experts with practical experience to contribute to the development of the IPPC Pest Free Area Guide | All CDC members | 2/06/2017 |
| 11 | Encourage identified experts on pest free areas to submit their CVs to the Roster of Consultants of the Phytosanitary Resources page | All CDC members | 2/06/2017 |
| 12 | Identify and share with the IPPC Secretariat technical resources on pest free areas and areas of low pest prevalence | All CDC members | 15/06/2017 |
| 13 | Suggest examples of good practices in the determination of pest status in an area for inclusion in the Pest Status Guide as case studies | All CDC members | July 2017 |
| 14 | Submit ideas to the IPPC Secretariat for the CPM13 side sessions' content | All CDC members | 10/06/2017 |
| 15 | Provide information on plant health diagnostics initiatives within regions | All CDC members | Through the year |
| 16 | Share resources with the IPPC Secretariat on the climate change effect on pests. | All CDC members | Through the year |
| 17 | Provide ideas for the IPPC theme 2018 - Plant Health and Environmental Protection and GEF project | All CDC members | September 2017 |

1.7 Review of the application for IC membership to shortlist candidates for the Bureau

The CDC (excluding those nominated for the IC membership) and the Bureau representative considered nominations. The candidates for the membership shortlist were identified and will be recommended to the CPM Bureau for selection.