Submission form for topics for Standards and Implementation

*(Updated by the IPPC Secretariat 2018-04-27)*

Name of Country or Organization\_\_\_\_New Zealand\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Introduction

In Accordance with CPM-13 decision, a combined call for topics for standards and tools for implementation is opened in 2018. IPPC contracting parties and RPPOs are invited to submit proposals for topics to be included as gaps in the Framework for Standards and Implementation for consideration to be put onto the IPPC work programme. Each submission should clearly define the problem needing resolution in sufficient detail to determine how it fits into the Framework for Standards and Implementation and the cost/benefit of the development of the standard or tool. Submitters are requested to consult the current IPPC Framework for Standards and Implementation (<https://www.ippc.int/en/publications/82439/>) to identify areas where the proposal can contribute.

Standards

This form covers submissions for new ISPMs, new components to an existing ISPM and revision or amendments to an ISPM, supplement, annex or appendix, including diagnostic protocols. Please note that a separate call for phytosanitary treatments (PTs) is made, more information on this call is available at <https://www.ippc.int/en/core-activities/standards-setting/calls-treatments/>.

Please refer to the IPPC Standard Setting Procedure Manual[[1]](#footnote-1) for an explanation of the hierarchy of terms for standards (technical area, topic and subject). The list of topics for IPPC standards adopted by the CPM is available at <https://www.ippc.int/core-activities/standards-setting/list-topics-ippc-standards>.

Implementation

This form covers submissions for new IPPC implementation resources for implementation of the Convention, ISPMs and CPM recommendations or for revisions to IPPC implementation resources. Please refer to the IPPC Framework for Standards and Implementation on implementation resources that have been adopted/developed, are under development or are planned to be developed.

Submission

This completed form should be submitted by the IPPC official contact point, preferably via e-mail, to the IPPC Secretariat ([ippc@fao.org](mailto:ippc@fao.org)) no later than **31 August 2018**. Please use one form per topic.

An electronic version of this form is available at <https://www.ippc.int/en/core-activities/standards-and-implementation/call-for-topics-standards-and-implementation/>.

Save and submit the completed submission form as:   
2018\_TOPIC\_*[Country or organization name – Proposed title of topic]*.docx.

(Text in brackets given for explanatory purposes)

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| **Submission form for topics for Standards and Implementation** |
| 1. **Proposed by**: Lihong Zhu |
| 1. **Contact:** (Contact information of an individual able to clarify issues relating to this submission)   Name: Lihong Zhu  Position and organization: Portfolio Manager for IPPC, Ministry for Primary Industries  Mailing address: Pastoral House, 25 The Terrace, Wellington, New Zealand    Phone: :+64 4 894 0261 Fax:  E-mail:Lihong.Zhu@mpi.govt.nz |
| 1. **Proposed Topic (Choose one box only)**   [\_\_] Standard **(go to 4)** [X] Implementation resource **(go to 5)** |

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| 1. **Standards**    1. **Type of topic: (Choose one box only)** | | |
| A. New ISPM:  [\_\_] Concept  [\_\_] Pest specific  [\_\_] Commodity specific  [\_\_] Reference | B. New component to an existing ISPM:  [\_\_] Supplement  [\_\_] Annex  [\_\_] Appendix  [\_\_] Technical panel (technical area)  [\_\_] Diagnostic protocol (subject) | C. Revision/Amendment of:  [\_\_] ISPM  [\_\_] Supplement  [\_\_] Annex  [\_\_] Appendix |
| **Draft specification:**  As agreed by CPM-7 (2012) and CPM-11 (2016), submissions in answer to the call for topics (except for draft diagnostic protocols, which are subject to additional criteria, see below) should be accompanied by a draft specification. Proposals for phytosanitary treatments are submitted using a different submission form in a separate call: <https://www.ippc.int/en/core-activities/standards-setting/calls-treatments/>.  An annotated template for the draft specification for Standards is available on the IPP (<https://www.ippc.int/en/publications/81324/>) in English, French and Spanish.  **(go to 6)** | | |

**OR**

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| 1. **Implementation**    1. **Type of topic: (Choose one box only)** | |
| 1. New implementation resource:   [X] Guide (e.g. Manual)  [\_\_] Training material (e.g. e-Learning)  [ ] Awareness material  [\_\_] Other (Please specify ) | 1. Revision of implementation resource   [\_\_] Guide (e.g. Manual)  [\_\_] Training material (e.g. e-Learning)  [\_\_] Awareness material  [\_\_] Other (Please specify ) |
| * 1. Featured Convention articles, ISPMs and CPM recommendations in the proposed implementation resource   [\_\_] for Convention articles (Please specify )  [X] for ISPM (ISPM 13 *Guidelines for the notification of non-compliance and emergency* action, ISPM 15 *Regulation of wood packaging material in international trade* and ISPM 42 *Requirements for the use of temperature treatments as phytosanitary measures*)  [\_\_] for CPM Recommendation (Please specify ) | |
| **Draft outline:**  Submissions for topics on implementation should be accompanied by a draft outline of implementation resource defining a scope and purpose, or a draft implementation resource. Commitment for financial/in-kind resources to support the development of the implementation resource may be included in the submission (non-obligatory).  **(go to 6)** | |

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| 1. **Proposed title of document**   **Title:** Managing non-compliant treated consignments  **Scope:** All decisions concerning detections of non-target pests and the application of phytosanitary treatments.  **Purpose:** To provide implementation guidance for NPPO decision makers for determining if treatments applied to commodities have failed following the detection of live non-target organisms either pre-export or on-arrival in an importing country.  **Outline:** The implementation document will include background on the issue and the factors to consider for risk management decision-making, such as, biological characteristics of pests and operational compliance (see attached references). |
| **7. Proposed priority**  [\_\_] 1 (high) [X] 2 [\_\_] 3 [\_\_] 4 (low)  Comments: |
| **8. Featured outcome of standard/implementation resource**  Consistent decision-making on the effective application of treatments as indicated by the survival of non-target pests. |
| **9. Contribution to filling the gaps of the Framework for Standards and Implementation:** (2 lines max)  To provide implementation guidance on the statement in ISPM 42: 7. *Inspection*, i.e. determining if the survival of non-target pests indicates a treatment failure and whether additional measures may be necessary. |
| **10. Summary of justification for the proposal** (2 lines max)  The proposed implementation guidance will assist NPPOs and treatment providers with making consistent decisions on the effective application of a treatment or on official assurances. |

**Criteria for justification and prioritization of proposed topics[[2]](#footnote-2):**

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| Submissions should address the applicable criteria for justification of the proposal (as listed below). Where possible, information in support of the justification and that may assist in the prioritization should be indicated.  All core criteria must be addressed; supporting criteria should be addressed if applicable.  Priority will be given to topics with the largest global impact. |
| **Core criteria (must provide information. It is expected that all submissions meet the following core**  **criteria)** |
| Contribution to the purpose of the IPPC as described in article I.1.  The proposed guidance will help prevent the introduction and spread of pests of plants and plant products by providing guidance for decision making about the effective application of treatments. If used by exporting NPPOs it will strengthen phytosanitary assurances attested to in phytosanitary certificates. Also, it can be used by phytosanitary treatment providers to determine the effectiveness of the application of a treatment. |
| Linkage to IPPC Strategic Objectives (SOs) and Organizational results demonstrated.  **Practical** – this guidance can be adopted in a reasonable time frame because it is proposed to provide guidance for implementation only. New Zealand has already developed an internal guideline that can be used as the basis of the proposed guidance. In addition, some expertise is available from working groups such as the Technical Panel for Phytosanitary Treatments (TPPT) and the Phytosanitary Measures Research Group (PMRG) to assist in the development of the proposed guidance.  **Economic** – the proposed guidance can be used across multiple pathways to make informed decisions to facilitate safe trade and strengthen phytosanitary assurances. It will reduce the likelihood of pest control as the result of pest incursions.  **Environmental** – the proposed guidance will aid in reducing negative environmental consequences as a result of regulated pests entering and establishing in an importing country as it will assist in the effective management of pest risk.  **Strategic** – The proposed guidance will provide decision-making tools on the most fundamental element in the phytosanitary system, that is, phytosanitary assurances. Pest interceptions on consignments arriving at the importing country border occur frequently and this proposed guidance will facilitate decision making on actions to take either pre-export or on-arrival in an importing country.  The proposed guidance can be used by any NPPO for any pest and any treated commodity. The foundation standards to which this proposed guidance applies are: ISPM 13 *Guidelines for the notification of non-compliance and emergency* action, ISPM 15 *Regulation of wood packaging material in international trade* and ISPM 42 *Requirements for the use of temperature treatments as phytosanitary measures*. |
| Feasibility of implementation at the global level (consider ease of implementation, technical complexity, capacity of NPPO(s) to implement, relevance for more than one region).  The proposed document is feasible because it will provide implementation guidance to exporting NPPOs for making decisions about the application of a treatment for the issuance of phytosanitary certificates. It does not necessarily need to be adhered to but will provide information for consistent decision-making. Also, it will assist importing NPPOs with interpreting verification results. |
| Clear identification of the problems that need to be resolved through the development of the standard or implementation resource.  The detection of live non-target organisms (regulated or non-regulated) on treated pathways can cast doubt on official assurances. This is because if a treatment has failed to kill the non-target pest (assuming it should also be killed by the treatment) then how confident are we that any target pest was killed.  Development of the implementation resource will result in assist with decision-making on pre-export and on-arrival measures and emergency actions. |
| Availability of, or possibility to collect, information in support of the proposed standard or implementation resource (e.g. scientific, historical, technical information, experience).  New Zealand has developed an internal guidance document for making risk management decisions on this topic. The guidance document was supported by a paper presented by New Zealand at the Phytosanitary Measures Research Group (PMRG) at the Netherlands in 2017. |

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| **Supporting criteria (information may be provided, as appropriate):** |
| **Supporting criteria (Practical)**   1. Is there a regional standard and/or implementation resource on the same topic already available and used by NPPOs, RPPOs or international organizations. 2. Availability of expertise needed to develop the proposed standard and/or implementation resource. |
| **Supporting criteria (Economic)**   1. Estimated value of the plants protected. 2. Estimated value of trade including new trade opportunities affected by the proposed standard and/or implementation resource (e.g. volume of trade, value of trade, the percentage of Gross Domestic Product of this trade) if appropriate. |
| **Supporting criteria (Environmental)**   1. Utility to reduce the potential negative environmental consequences of certain phytosanitary measures, for example reduction in global emissions for the protection of the ozone layer. 2. Utility in the management of non-indigenous species which are pests of plants (such as some invasive alien species). 3. Contribution to the protection of the environment, through the protection of wild flora, and their habitats and ecosystems, and of agricultural biodiversity. |
| **Supporting criteria (Strategic)**   1. Extent of support for the proposed standard and/or implementation resource (e.g. one or more NPPOs or RPPOs have requested it, or one or more RPPOs have adopted a standard on the same topic). 2. Frequency with which the issue to be addressed, as identified in the submission emerges as a source of trade disruption (e.g. disputes or need for repeated bilateral discussions, number of times per year trade is disrupted). 3. Relevance and utility to developing countries. 4. Coverage (application to a wide range of countries/pests/commodities). 5. Complements other standards and/or implementation resources (e.g. potential for the standard to be used as part of a systems approach for one pest, complement treatments for other pests). 6. Conceptual standard and/or implementation resource to address fundamental concepts (e.g. treatment efficacy, inspection methodology). 7. Urgent need for the standard and/or implementation resource. |
| **Diagnostic protocols are subject to additional criteria. For proposals for DPs, please elaborate on the following criteria to help the future consideration of the subject proposed:**   * Need for international harmonization of the diagnostic techniques for the pest (e.g. due to difficulties in diagnosis or disputes on methodology) * Relevance of the diagnosis to the protection of plants including measures to limit the impact of the pest. * Importance of the plants protected on the global level (e.g. relevant to many countries or of major importance to a few countries). * Volume/importance of trade of the commodity that is subjected to the diagnostic procedures (e.g. relevant to many countries or of major importance to a few countries). * Other criteria for topics as determined by CPM that are relevant to determining priorities * Balance between pests of importance in different climatic zones (temperate, tropics etc.) and commodity classes. * Number of labs undertaking the diagnosis. * Feasibility of production of a protocol, including availability of knowledge and expertise. |
| **Literature review**[[3]](#footnote-3) (This section will provide a **summary of the topic** based on scientific and technical publications, including a referenced **list of literature reviewed**. This will help provide the scientific basis for the content of the standard/implementation resource to be used by the selected experts during the development of the standard/implementation resource)**.** |

**Send submissions to:** **Address:** IPPC Secretariat (AGDI)

**E-mail:** [ippc@fao.org](mailto:ippc@fao.org) Food and Agriculture Organization of the UN

(Subject line: “Call for topics 2018”) Viale delle Terme di Caracalla

00153 Rome, Italy

1. IPPC Standard Setting Procedure Manual URL: <https://www.ippc.int/en/publications/85024/> [↑](#footnote-ref-1)
2. As agreed by CPM-13 (2018) [↑](#footnote-ref-2)
3. As agreed by CPM-7 (2012) and CPM-11 (2016). [↑](#footnote-ref-3)