Submission form for topics for Standards and Implementation

*(Updated by the IPPC Secretariat 2018-04-27)*

Name of Country or Organization: Canadian Food Inspection Agency – NPPO of Canada

Introduction

In Accordance with CPM-13 decision, a combined call for topics for standards and tools for implementation is opened in 2018. IPPC contracting parties and RPPOs are invited to submit proposals for topics to be included as gaps in the Framework for Standards and Implementation for consideration to be put onto the IPPC work programme. Each submission should clearly define the problem needing resolution in sufficient detail to determine how it fits into the Framework for Standards and Implementation and the cost/benefit of the development of the standard or tool. Submitters are requested to consult the current IPPC Framework for Standards and Implementation (<https://www.ippc.int/en/publications/82439/>) to identify areas where the proposal can contribute.

Standards

This form covers submissions for new ISPMs, new components to an existing ISPM and revision or amendments to an ISPM, supplement, annex or appendix, including diagnostic protocols. Please note that a separate call for phytosanitary treatments (PTs) is made, more information on this call is available at <https://www.ippc.int/en/core-activities/standards-setting/calls-treatments/>.

Please refer to the IPPC Standard Setting Procedure Manual[[1]](#footnote-1) for an explanation of the hierarchy of terms for standards (technical area, topic and subject). The list of topics for IPPC standards adopted by the CPM is available at <https://www.ippc.int/core-activities/standards-setting/list-topics-ippc-standards>.

Implementation

This form covers submissions for new IPPC implementation resources for implementation of the Convention, ISPMs and CPM recommendations or for revisions to IPPC implementation resources. Please refer to the IPPC Framework for Standards and Implementation on implementation resources that have been adopted/developed, are under development or are planned to be developed.

Submission

This completed form should be submitted by the IPPC official contact point, preferably via e-mail, to the IPPC Secretariat ([ippc@fao.org](mailto:ippc@fao.org)) no later than **31 August 2018**. Please use one form per topic.

An electronic version of this form is available at <https://www.ippc.int/en/core-activities/standards-and-implementation/call-for-topics-standards-and-implementation/>.

Save and submit the completed submission form as:   
2018\_TOPIC\_*[Country or organization name – Proposed title of topic]*.docx.

(Text in brackets given for explanatory purposes)

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| **Submission form for topics for Standards and Implementation** |
| 1. **Proposed by**: (Name of IPPC Official Contact Point) Steve Côté |
| 1. **Contact:** (Contact information of an individual able to clarify issues relating to this submission)   Name: Dominique Pelletier  Position and organization: Senior International Standards Officer  Mailing address: 1400 Merivale Road Tower 1, Ottawa, Ontario  K1A 0Y9 Canada  Phone: (613) 773-6492 Fax: (613) 773-5693  E-mail: dominique.pelletier2@canada.ca |
| 1. **Proposed Topic (Choose one box only)**   [\_\_] Standard **(go to 4)** [\_**X**\_] Implementation resource **(go to 5)** |

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| 1. **Standards**    1. **Type of topic: (Choose one box only)** | | |
| A. New ISPM:  [\_\_] Concept  [\_\_] Pest specific  [\_\_] Commodity specific  [\_\_] Reference | B. New component to an existing ISPM:  [\_\_] Supplement  [\_\_] Annex  [\_\_] Appendix  [\_\_] Technical panel (technical area)  [\_\_] Diagnostic protocol (subject) | C. Revision/Amendment of:  [\_\_] ISPM  [\_\_] Supplement  [\_\_] Annex  [\_\_] Appendix |
| **Draft specification:**  As agreed by CPM-7 (2012) and CPM-11 (2016), submissions in answer to the call for topics (except for draft diagnostic protocols, which are subject to additional criteria, see below) should be accompanied by a draft specification. Proposals for phytosanitary treatments are submitted using a different submission form in a separate call: <https://www.ippc.int/en/core-activities/standards-setting/calls-treatments/>.  An annotated template for the draft specification for Standards is available on the IPP (<https://www.ippc.int/en/publications/81324/>) in English, French and Spanish.  **(go to 6)** | | |

**OR**

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| 1. **Implementation**    1. **Type of topic: (Choose one box only)** | |
| 1. New implementation resource:   [\_**X**\_] Guide (e.g. Manual)  [\_\_] Training material (e.g. e-Learning)  [\_\_] Awareness material  [\_\_] Other (Please specify ) | 1. Revision of implementation resource   [\_\_] Guide (e.g. Manual)  [\_\_] Training material (e.g. e-Learning)  [\_\_] Awareness material  [\_\_] Other (Please specify ) |
| * 1. Featured Convention articles, ISPMs and CPM recommendations in the proposed implementation resource   [\_**X**\_] for Convention articles (Authorization is referred to in the IPPC in Article V.2(a)  [\_**X**\_] for ISPM (ISPM 3:2005 (*Guidelines for the export, shipment, import and release of biological control agents and other beneficial organisms*), ISPM 7:2011 (*Phytosanitary certification system*), ISPM 12:2011 (*Phytosanitary certificates*), ISPM 20:2004 (*Guidelines for a phytosanitary import regulatory system*) and ISPM 23:2005 (*Guidelines for inspection*). A draft ISPM on Authorization of entities to perform phytosanitary actions is currently undergoing consultation. Development of guidance material on elements of authorization has been identified as a need by the Expert Working Group and the Standards Committee, and the need for additional guidance needs are expected to arise during the country consultation process.  [\_\_] for CPM Recommendation (Please specify ) | |
| **Draft outline:**  Submissions for topics on implementation should be accompanied by a draft outline of implementation resource defining a scope and purpose, or a draft implementation resource. Commitment for financial/in-kind resources to support the development of the implementation resource may be included in the submission (non-obligatory).  Scope: The guide will increase the understanding of the concept of authorization of entities to perform phytosanitary action and will facilitate the implementation of the ISPM on this topic. .  Purpose: The guide will support NPPOs and entities to understand the process, to meet requirements and to fulfil their roles and responsibilities during the development and implementation of authorization programmes to carry out phytosanitary actions.  Elements to be covered by the guide:   * development of a legal framework to enable authorization; * understanding and development of management systems and quality management systems manuals; * development of procedures and processes to authorize, suspend, and reinstate entities to audit other entities; * supervision of authorized entities, including procedures for examination and assessment of competencies. * other needs expected to be identified during First and Second Consultation process. | |

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| **6. Proposed title of document**  *IPPC Guide on the development and implementation of programmes for the authorization of entities to perform phytosanitary actions* |
| **7. Proposed priority**  [\_**X**\_] 1 (high) [\_\_] 2 [\_\_] 3 [\_\_] 4 (low)  Comments:  The need is urgent as the simultaneous development of implementation resources, along with the process of consulting, refining and finalizing the draft ISPM on authorization of entities for adoption, will facilitate the implementation of the standard when it is adopted. |
| **8. Featured outcome of standard/implementation resource**  To increase the understanding of the concept of authorization and to facilitate the implementation of the ISPM on authorization of entities to perform phytosanitary actions. |
| **9. Contribution to filling the gaps of the Framework for Standards and Implementation:** (2 lines max)  The Framework currently identifies, “supervision of authorized entities, including procedures for examination and assessment of competencies”, as an implementation need. Additional needs have been identified during the EWG meeting and more needs are expected to be identified during the First and Second Consultation process of the draft ISPM on authorization of entities. |
| **10. Summary of justification for the proposal** (2 lines max)  The simultaneous development of implementation resources, along with the process of consulting, refining and finalizing the draft ISPM on authorization of entities for adoption, will facilitate the implementation of standard when it is adopted. The simultaneous development of ISPM and implementation resource would be a good pilot approach. |

**Criteria for justification and prioritization of proposed topics[[2]](#footnote-2):**

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| Submissions should address the applicable criteria for justification of the proposal (as listed below). Where possible, information in support of the justification and that may assist in the prioritization should be indicated.  All core criteria must be addressed; supporting criteria should be addressed if applicable.  Priority will be given to topics with the largest global impact. |
| **Core criteria (must provide information. It is expected that all submissions meet the following core**  **criteria)** |
| Contribution to the purpose of the IPPC as described in article I.1.  The development of implementation resources, such as a guide and awareness material, will facilitate the implementation of the ISPM on “authorization of entities to perform phytosanitary action”, once it is adopted. The development of implementation resources will facilitate the understanding of the concept of authorization among all contracting parties and the implementation of key elements such as:   * development of a legal framework to enable authorization; * understanding and development of quality systems and quality system manuals; * development of mechanisms and process to authorize entities to audit other entities; * supervision of authorized entities, including procedures for examination and assessment of competencies. * other needs expected to be identified during Ffirst and second consultations on the draft ISPM.   The simultaneous development of implementation resources, along with the process of consulting, refining and finalizing the draft ISPM for adoption, links directly with the purpose of the IPPC as stated in Article I.1: “*With the purpose of securing common and effective action to prevent the spread and introduction of pests of plants and plant products, and to promote appropriate measures for their control*” |
| Linkage to IPPC Strategic Objectives (SOs) and Organizational results demonstrated.  With increasing volumes and diversity of trade, new and emerging market access opportunities, and decreasing human and financial resources to carry out phytosanitary regulatory programmes, NPPOs will need to concentrate their efforts on reviewing existing policies to meet the changing global context and risks. Review of existing policies would include exploring new and/or alternative ways to deliver phytosanitary actions, considering the lack of NPPO resources, and authorization of entities is recognized way to achieve effective delivery of phytosanitary actions. The development of implementation resources related to elements of authorization will enable developing countries to adopt and implement the concept of authorization. As stated in the SF 2012-2019, authorization of entities enables the principle that “private stakeholders should be more involved and accept responsibility for phytosanitary issues”.  Development implementation resources facilitates the adoption and implementation of the concept of authorization, which directly links to Strategic Objective D (*develop phytosanitary capacity for members to accomplish A, B and C.*).  In addition, linkages to Organization Results:  C1 - *Countries evaluate and upgrade their phytosanitary certification systems to take account of the revised standards.*  D1 - *Developing countries are assisted in capacity development programmes by identifying their needs and priorities…..*  D3 - ………… *the implementation of the IPPC and its standards, and the challenges that members are dealing with, including problems with the implementation of standards.*  D4 - *Information resources are made available to allow countries to improve national phytosanitary capacity.* |
| Feasibility of implementation at the global level (consider ease of implementation, technical complexity, capacity of NPPO(s) to implement, relevance for more than one region).  The country consultation process is anticipated to identify additional implementation challenges, which can be addressed through the development of implementation resources and made available in time for the adoption of the standard. The implementation resources will be highly relevant to all regions, specifically to regions with high concentration of developing countries and will enable the capacity of the developing NPPOs to understand and implement the elements of authorization. This in turn will promote their ability to export through a robust phytosanitary export system and also to develop confidence and facilitate imports certified through authorization programmes.  The draft IPPC Investment Plan (with comments provided by Bureau members) identifies external project funding as the source of resources to fund development of the guidance document. Similarly, external funding may be sought to support implementation (with the benefit of this dedicated guidance supporting effective use of such funds). |
| Clear identification of the problems that need to be resolved through the development of the standard or implementation resource.  Implementation issues will primarily be identified as a result from the current and second consultation periods on the draft ISPM, and contracting parties that have implemented authorization programmes will be asked to identify related impediments to implementation. In addition, the need for clarification is anticipated for a number of concepts presented in the draft standard, such as the development of a legal framework to enable authorization, a proper understanding of the concepts of quality systems and the development of quality system manuals, the supervision of authorized entities, including procedures for examination and assessment of competencies, etc. |
| Availability of, or possibility to collect, information in support of the proposed standard or implementation resource (e.g. scientific, historical, technical information, experience).  The development of implementation resources will facilitate the understanding of the concept of authorization among all contracting parties and the implementation of key elements such as:   * development of a legal framework to enable authorization; * understanding and development of quality systems and quality system manuals; * development of mechanisms and process to authorize entities to audit other entities; * supervision of authorized entities, including procedures for examination and assessment of competencies   Additional need for implementation resources relevant to authorization is expected to be identified during the First and Second Consultation process of the draft ISPM on authorization of entities to perform phytosanitary action. The draft ISPM is currently under First Consultation. |

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| **Supporting criteria (information may be provided, as appropriate):** |
| **Supporting criteria (Practical)**   1. Is there a regional standard and/or implementation resource on the same topic already available and used by NPPOs, RPPOs or international organizations.   The Canadian Food Inspection Agency has programs and manuals, related to authorization:   * Plant Health Policy Directive - D-10-02: The Canadian Grain Sampling Program (CGSP) * Plant Health Policy Directive **D-13-01** - Canadian Heat Treated Wood Products Certification Program (HT Program) * Quality Management System Manual for Facilities registered in the Emerald Ash Borer Approved Facility Compliance Program * Plant Health Policy Directive – D-95-08 Phytosanitary import requirements for fresh temperate fruits and tree nuts  1. Availability of expertise needed to develop the proposed standard and/or implementation resource.   Expertise is available from countries such as: Canada, United States, Netherlands, Australia, New Zealand, Argentina. Experts from these countries also participated in the development of the draft ISPM on authorization of entities to preform phytosanitary actions.. |
| **Supporting criteria (Economic)**   1. Estimated value of the plants protected. 2. Estimated value of trade including new trade opportunities affected by the proposed standard and/or implementation resource (e.g. volume of trade, value of trade, the percentage of Gross Domestic Product of this trade) if appropriate. GDP and trade related to the use of third party systems and authorization of entities would be in the billions. |
| **Supporting criteria (Environmental)**   1. Utility to reduce the potential negative environmental consequences of certain phytosanitary measures, for example reduction in global emissions for the protection of the ozone layer. 2. Utility in the management of invasive species which are pests of plants. 3. Contribution to the protection of the environment, through the protection of wild flora, and their habitats and ecosystems, and of agricultural biodiversity. |
| **Supporting criteria (Strategic)**   1. Extent of support for the proposed standard and/or implementation resource (e.g. one or more NPPOs or RPPOs have requested it, or one or more RPPOs have adopted a standard on the same topic).   The development of implementation resources on authorization will be relevant to all regions, especially regions with high concentration of developing contracting parties.   1. Frequency with which the issue to be addressed, as identified in the submission emerges as a source of trade disruption (e.g. disputes or need for repeated bilateral discussions, number of times per year trade is disrupted).   Development of implementation resources on authorization will facilitate the understanding of the concept, implementation of authorization programmes, and build countries’ confidence in authorization programme, which in turn will facilitate trade and prevent disruptions.   1. Relevance and utility to developing countries.   Highly relevant to developing countries. Enhances understanding and use of authorization programmes. Addresses NPPO resource constraint issues and exploring news ways to deliver phytosanitary action. .   1. Coverage (application to a wide range of countries/pests/commodities).   Facilitates application of authorization programmes in import, domestic and export systems.   1. Complements other standards and/or implementation resources (e.g. potential for the standard to be used as part of a systems approach for one pest, complement treatments for other pests).   Facilitates the implementation of ISPM on authorization of entities, which is currently going through the consultation process.   1. Conceptual standard and/or implementation resource to address fundamental concepts (e.g. treatment efficacy, inspection methodology).   Addresses the concept of authorization.   1. Urgent need for the standard and/or implementation resource.   The need is urgent as the simultaneous development of implementation resources, along with the process of consulting, refining and finalizing the draft ISPM on authorization of entities , will facilitate the implementation of standard when it is adopted. |
| **Diagnostic protocols are subject to additional criteria. For proposals for DPs, please elaborate on the following criteria to help the future consideration of the subject proposed:**   * Need for international harmonization of the diagnostic techniques for the pest (e.g. due to difficulties in diagnosis or disputes on methodology) * Relevance of the diagnosis to the protection of plants including measures to limit the impact of the pest. * Importance of the plants protected on the global level (e.g. relevant to many countries or of major importance to a few countries). * Volume/importance of trade of the commodity that is subjected to the diagnostic procedures (e.g. relevant to many countries or of major importance to a few countries). * Other criteria for topics as determined by CPM that are relevant to determining priorities * Balance between pests of importance in different climatic zones (temperate, tropics etc.) and commodity classes. * Number of labs undertaking the diagnosis. * Feasibility of production of a protocol, including availability of knowledge and expertise. |
| **Literature review**[[3]](#footnote-3) (This section will provide a **summary of the topic** based on scientific and technical publications, including a referenced **list of literature reviewed**. This will help provide the scientific basis for the content of the standard/implementation resource to be used by the selected experts during the development of the standard/implementation resource)**.** |

**Send submissions to:** **Address:** IPPC Secretariat (AGDI)

**E-mail:** [ippc@fao.org](mailto:ippc@fao.org) Food and Agriculture Organization of the UN

(Subject line: “Call for topics 2018”) Viale delle Terme di Caracalla

00153 Rome, Italy

1. IPPC Standard Setting Procedure Manual URL: <https://www.ippc.int/en/publications/85024/> [↑](#footnote-ref-1)
2. As agreed by CPM-13 (2018) [↑](#footnote-ref-2)
3. As agreed by CPM-7 (2012) and CPM-11 (2016). [↑](#footnote-ref-3)