





2020

DRAFT ISPM: AUDIT IN THE PHYTOSANITARY CONTEXT (2015-014)

IPPC FIRST CONSULTATION
1 JULY TO 30 SEPTEMBER 2020

IPPC SECRETARIAT
2020 IPPC REGIONAL WORKSHOP

PROTECTING PLANTS,
PROTECTING LIFE



Background



IPPC Secretariat (2015)

 Call for topics in 2015: The topic "Audit in the phytosanitary context" was submitted



SC Nov (2015)

•SC recommended be added to the work programme



CPM-11 (2016)

•Added the topic in April 2016 (Priority 2)



EWG (2019)

First draft



SC Nov (2017)

•SC approved Specification 66 (Audit in the phytosanitary context) and recommended to change to priority 1 (approved by the CPM-13 in April 2018)



SC April (2020)

- •OCS Draft revision
- Approval for first consultation



1st Consultation (2020)





General Considerations



For 1st consultation

Because of the travel restrictions due to the COVID-19 pandemic and in line with the FAO policy, the 2020 May meeting of the SC was cancelled

To continue with the core work of the SC, the SC agreed to utilize **OCS** to review draft ISPM

IPPC - OCS

SC reviewed the draft ISPM, starting on the 20 April 2020. The steward addressed the comments and revised the draft

SC approved the draft ISPM for the first consultation

1 July - 30 September 2020



General points for consultation



The standard describes the **purpose** and **procedures** for audit activities in the phytosanitary context, considering all the elements indicated in Specification No. 66

Includes the circumstances that may **trigger** an audit and the criteria and procedures for **planning**, **preparing for** and **performing** audits

Provides guidance on **selecting auditors**: describing the criteria, procedures and the requirements for approving and selecting auditors that would enable NPPOs (or entities authorized by them) to conduct audits



General points for consultation (cont.)



Establishing audit **frequencies**, **settling disputes** over audit findings, and agreeing **financial arrangements** between the parties involved

The structure of the draft is proposed to give an order from the most general issues to the particular:

- that is, first to mention the general considerations to be taken into account for the audits, and then
- the steps of execution (performance) of an audit



Possible implementation considerations





are invited to comment and present possible implementation issues

i.e.: Audit guidance tools, Auditor training, legal or regulatory framework necessary to support authorization of entities other than the NPPO to perform audits, etc.



Useful links



Consultation page (full text of the ISPM):

 https://www.ippc.int/en/core-activities/standardssetting/member-consultation-draft-ispms/

Report of the EWG meeting drafting the ISPM:

https://www.ippc.int/en/publications/87497/





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