



منظمة الأغذية
والزراعة
للأمم المتحدة

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粮食及
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Organización
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para la
Agricultura
y la
Alimentación

INTERIM COMMISSION ON PHYTOSANITARY MEASURES

Fifth Session

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Amendments to the Strategic Plan

Agenda Item 8.1 of the Provisional Agenda

1. The Fourth Session of the Interim Commission on Phytosanitary Measures (ICPM) considered the Strategic Plan for 2002 and agreed that the strategic directions should be reviewed by an editing team for clarity, editing, and detail to improve understanding. It suggested that this work should include rephrasing the actions as outputs and providing some performance measurements (c.f. para. 72(2) of the Report of ICPM-4).
2. An ad hoc Focus Group, comprised of Mr Carberry (Canada) and the Bureau of the ICPM, met with the IPPC Secretariat at FAO Headquarters (11-12 July) to complete this task. The recommendations of the Focus Group were presented to the ICPM Informal Working Group on Strategic Planning and Technical Assistance (SPTA) at their Fourth meeting from 15-18 October 2002 in Rome. The SPTA meeting was chaired by Mr. Hedley (Vice Chairperson/New Zealand).
3. The SPTA reviewed the modifications to the Strategic Plan suggested by the Focus Group and made further recommendations to amend the Strategic Plan. These included updating the Plan to take into account the accomplishments of the ICPM during 2002.
4. As the amended Strategic Plan contained many editorial changes and significant modifications to the associated tables, the SPTA recommended that an edited version of the Strategic Plan be presented to the ICPM (see Appendix). The Group also recommended that any changes in content of the Strategic Plan should be listed separately to ensure that they were clearly visible for ICPM Members to consider.

Changes to the Strategic Plan

5. In recognition of the need for the development of more standards, the Focus Group recommended that an additional sentence (*An increased number of international standards is*

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necessary to facilitate international trade as envisaged by the WTO SPS Agreement.) be added to Strategic Direction 1.

6. Two new goals were added to the Goals for Strategic Direction 1: *1.1 Maintain an effective standard development and adoption system in the ICPM and SC* and *1.3.1 Establish a mechanism to review standards*. The previous goals 1.2.1 and 1.2.2 (*Establishment of a procedure to identify and prioritize the development and review (including submissions procedures) of concept and specific standards* and *Development of procedures to provide for sponsorship of specific standards*) were deleted from Strategic Direction 1 as they had been accomplished. The previous goal 1.5.1 (*Development of procedures for monitoring*) was deleted as it had been considered by ICPM 4 and had not been adopted.

7. In the Goals for Strategic Direction 3, the former goal 3.1 (*Promotion of dispute avoidance (e.g. a regular ICPM agenda item)*) was reworded to create two new goals: *3.1 Increase awareness of dispute settlement mechanism* and *3.2.3 Establish a regular ICPM agenda item for dispute settlement*.

8. Strategic Direction 4 was modified to incorporate specific actions in relation to technical assistance. Two new goals were added: *4.1.2 Promote the use of PCE* and *4.1.3 Identify and develop additional TA tools*. The previous goal 4.2 (*Promotion of capacity building with regard to the understanding and application of international standards (e.g. through regional workshops) including before these standards are implemented*) was separated into three goals: *4.2 Promote technical assistance*; *4.2.1 Increase the number of workshops and other activities to improve the understanding and application of international standards* and *4.2.2 Increase assistance for the establishment, revision and updating of national legislation*. The previous goal 4.4 (*Establishment of systems that attract from donors for technical assistance programmes*) was reworded to reflect IPPC's role more clearly (*4.3 Provide information to help Members obtain technical assistance from donors*).

9. One new goal was added to the Goals for Strategic Direction 5: *5.5 Establish costing of all activities in the Strategic Plan*. The SPTA recommended that the Business Plan should be reviewed annually, so amended the previous 5.6 (*Establishment of a business plan for resource requirements*) to become: *5.1.4 Review Business Plan annually*.

10. Two former goals from Strategic Direction 5 were moved to the Goals for Strategic Direction 6 (*5.1 Encouragement of members to deposit their instrument of acceptance for the new revised text* and *5.2 Encouragement of non-contracting parties to adopt the IPPC*), as it was more logical for them to be within Strategic Direction 6. One new goal was added to Strategic Direction 6: *6.3.1 Develop a plan of action for the provision of scientific and technical support for IPPC implementation*.

11. The ICPM is invited to:

1. *Note* the amendments to the Strategic Plan.
2. *Agree* to the inclusion of a regular agenda item on dispute settlement.
3. *Agree* to an annual review of the Business Plan.
4. *Adopt* the amendments to the Strategic Plan.

AMENDMENTS TO THE STRATEGIC PLAN

STRATEGIC DIRECTIONS AND GOALS

Strategic Direction No. 1: The development, adoption and monitoring of the implementation of International Standards for Phytosanitary Measures (ISPMs)

Setting international phytosanitary standards is a basic and unique role identified in the IPPC, particularly given the status accorded IPPC standards as a result of the WTO SPS Agreement. Internationally accepted phytosanitary standards form the basis for the harmonization of phytosanitary measures that protect natural and cultivated plant resources while ensuring fair and safe trade. An increased number of international standards is necessary to facilitate international trade as envisaged by the WTO SPS Agreement.

Goals for Strategic Direction No. 1

- 1.1 Maintain an effective standard development and adoption system using the ICPM and SC
 - 1.1.1 Increase the number of standards to meet targets established in the ICPM work programme
 - 1.1.2 Develop specific standards where relevant concept standards are in place
 - 1.1.3 Develop concept standards where necessary for the preparation of specific standards in priority areas
 - 1.1.4 Request RPPO cooperation in the development of ISPMs
- 1.2 Improve the standard-setting mechanism
 - 1.2.1 Establish “Guidelines on the establishment of commodity or pest-specific standards”
- 1.3 Ensure that ISPMs take account of the protection of the environment
 - 1.3.1 Establish a mechanism to review standards
- 1.4 Increase transparency and participation in the standard-setting process
 - 1.4.1 Increase the participation by developing countries in standard setting
 - 1.4.2 Develop efficient information sharing systems concerning standard-setting activities and procedures
- 1.5 Facilitate the implementation of standards
 - 1.5.1 Establish explanatory documents corresponding to ISPMs if needed
 - 1.5.2 Encourage RPPOs to assist their members in the implementation of ISPMs

Strategic direction No. 2: Information exchange

This strategic direction covers members and the IPPC Secretariat’s obligations to provide information as specified in the IPPC and information exchange that may be specified by the ICPM or in ISPMs, including such information as pest lists, pest reports, and phytosanitary measures. Information exchange activities ensure that members communicate officially on phytosanitary regulations and other issues of phytosanitary significance, and determine the means by which the IPPC Secretariat makes them available to other members.

Goals for Strategic Direction No. 2

- 2.1 Establish procedures for pest reporting and information exchange
- 2.2 Promote increased access and use of electronic communication/Internet
- 2.3 Develop the IPP for provision of official information by countries
- 2.4 Establish systems to identify sources of information on pests

Strategic Direction No. 3: The provision of dispute settlement mechanisms

This relates to the non-binding dispute settlement provisions contained in Article XIII of the IPPC (1997). The ICPM is charged to develop rules and procedures for dispute settlement under the IPPC. The Convention explicitly recognizes the complimentary role of the IPPC in this area given the formal binding dispute settlement process that exists under the WTO.

Goals for Strategic Direction No. 3

- 3.1 Increase awareness of dispute settlement mechanism
 - 3.1.1 Develop information material concerning the requirements for effective preparation of a dispute settlement
- 3.2 Provide supporting information on IPPC and other dispute settlement systems
 - 3.2.1 Establish an inventory of other dispute settlement systems
 - 3.2.2 Provide rulings/precedents from dispute settlements (e.g. WTO)
 - 3.2.3 Establish a regular ICPM agenda item for dispute settlement

Strategic Direction No. 4: The development of the phytosanitary capacity of Members by promoting the provision of technical assistance

Article XX in the IPPC (1997) requires members to promote the provision of technical assistance especially to developing contracting parties, either bilaterally or through appropriate international organizations with the purpose of facilitating implementation of the IPPC. Adequate capacity and infrastructure for all Members are critical to accomplish the IPPC's goals.

Goals for Strategic Direction No. 4

- 4.1 Develop and maintain methods and tools for individual countries to evaluate and develop their phytosanitary capacity as well as their needs and demands for technical assistance
 - 4.1.1 Maintain and update Phytosanitary Capacity Evaluation (PCE)
 - 4.1.2 Promote use of the PCE
 - 4.1.3 Identify and develop additional technical assistance tools
- 4.2 Promote technical assistance
 - 4.2.1 Increase the number of workshops and other activities to improve the understanding and application of international standards
 - 4.2.2 Increase assistance for the establishment, revision and updating of national legislation
 - 4.2.3 Establish a checklist on phytosanitary legal and associated institutional issues
 - 4.2.4 Establish a process to identify and rank priorities for the ICPM's activities in technical assistance
- 4.3 Provide information to help Members obtain technical assistance from donors
- 4.4 Promote the improvement and development of RPPOs
 - 4.4.1 Assist RPPOs in the establishment of information systems

Strategic direction No. 5: The maintenance of an effective and efficient administrative framework

To function effectively, the ICPM must establish organizational structures and procedures, identify funding mechanisms, and address various support and administrative functions, including internal review and evaluation mechanisms. This strategic direction is to make provision for the ICPM to address its administrative issues and strategies, making continual improvement to ensure its business practices are effective and efficient.

Goals for Strategic Direction No. 5

- 5.1 Establish planning, reporting and review mechanisms
 - 5.1.1 Provide a transparent budget
 - 5.1.2 Increase Secretariat capacity through the use of FAO resources
 - 5.1.3 Review business plan annually
 - 5.1.4 Establish internal planning, review and evaluation mechanisms
 - 5.1.5 Report on activities of the Secretariat, including reporting by Secretariat on the implementation of the strategic plan
 - 5.1.6 Update strategic plan and operational programme annually
- 5.2 Establish strategies for increasing resources available to the IPPC
- 5.3 Identify the relationship of the IPPC Secretariat in the context of FAO
- 5.4 Establish procedures to identify issues where common action of the ICPM is required

5.5 Establish costing of all activities in Strategic Plan

Strategic Direction No. 6: Promotion of IPPC and cooperation with relevant international organizations

This strategy direction recognizes the need to communicate IPPC issues, obligations, processes and interests to all concerned, including other bodies with similar or overlapping interests, and to encourage RPPOs to promote regionally the implementation of the IPPC.

Goals for Strategic Direction No. 6

- 6.1 Promote the IPPC
 - 6.1.1 Encourage Members to deposit their instrument of acceptance for the New Revised Text
 - 6.1.2 Encourage non-contracting parties to adopt the IPPC
 - 6.1.3 Communicate IPPC issues, obligations, processes and interests to all concerned, including other bodies with similar or overlapping interests
 - 6.1.4 Request RPPOs to promote regionally the implementation of the IPPC
- 6.2 Strengthen cooperation with other international organizations
 - 6.2.1 Establish relations, identify areas of common interest, and where appropriate, develop coordinated activities and joint programmes with other relevant organizations including the CBD, OIE, Codex and WTO
 - 6.2.2 Strengthen cooperation and coordination with relevant organizations on technical assistance
- 6.3 Establish linkages with research and education institutions to identify a plan of action for the provision of scientific and technical support for the IPPC
 - 6.3.1 Develop a plan of action for the provision of scientific and technical support for IPPC implementation

Tables indicating the timing, priorities and means for achieving goals recommended by the ICPM Technical Consultation on Strategic Planning.

Table 1. Strategic Direction No. 1: The development, adoption and monitoring of the implementation of international standards for phytosanitary measures (ISPMs)

Goals	Timing	Priority	Means
1.1 Maintain an effective standard development and adoption system using the ICPM and SC	Ongoing	High	SC and ICPM
1.1.1 Increase the number of standards to meet targets established in the ICPM work programme	Ongoing	High	
1.1.2 Develop specific standards where relevant concept standards are in place	Ongoing	High	ICPM
1.1.3 Develop concept standards where necessary for the preparation of specific standards in priority areas	Ongoing	High	
1.1.4 Request RPPO cooperation in the development of ISPMs	Ongoing	Low	ICPM and Secretariat
1.2 Improve the standard-setting mechanism			
1.2.1 Establish "Guidelines on the establishment of commodity or pest-specific standards"	Ongoing	Medium	ICPM
1.3 Ensure that ISPMs take account of the protection of the environment	Ongoing	High	ICPM, Bureau and Secretariat
1.3.1 Establish a mechanism to review standards with this in mind	Ongoing	High	ICPM, Bureau and Secretariat
1.4 Increase transparency and participation in the standard setting process	Ongoing	High	ICPM
1.4.1 Increase the participation by developing countries in standard setting	Ongoing	High	ICPM WG
1.4.2 Develop efficient information sharing systems concerning standard-setting activities and procedures	Ongoing	Medium	ICPM and Secretariat
1.5 Facilitate the implementation of standards	2003	High	ICPM
1.5.1 Establish explanatory documents corresponding to ISPMs if needed	2003	Medium	SC
1.5.2 Encourage RPPOs to assist their members in the implementation of ISPMs	Ongoing	Medium	ICPM

Table 2. Strategic Direction No. 2: Information exchange

Goals	Timing	Priority	Means
2.1 Establish procedures for pest reporting and information exchange	In process	High	SC
2.2 Promote increased access and use of electronic communication/Internet	Ongoing	Medium	Secretariat
2.3 Develop the IPP for provision of official information by countries,	2003	High	Secretariat
2.4 Establish systems to identify sources of information on pests	2004	Medium	Working group

Table 3. Strategic Direction No. 3: Dispute settlement

Goals	Timing	Priority	Means
3.1 Increase awareness of dispute settlement mechanism	Ongoing	Medium	Secretariat report to ICPM
3.1.1 Development of information material concerning the requirements for effective preparation of a dispute settlement	2004	Medium	Subsidiary body
3.2 Providing supporting information on IPPC and other dispute settlement systems	2004	Medium	Subsidiary body
3.2.1 Establishment of an inventory of other dispute settlement systems	2004	Medium	Subsidiary body
3.2.2 Providing rulings/precedents from dispute settlements (e.g. WTO)	2004	Medium	Subsidiary body
3.2.3 Establish a regular ICPM agenda item for dispute settlement mechanism	2003	Medium	ICPM

Table 4. Strategic Direction No. 4: The development of phytosanitary capacity of Members by promoting the provision of technical assistance

Goals	Timing	Priority	Means
4.1 Develop and maintain methods and tools for individual countries to evaluate their phytosanitary capacity as well as their needs and demands for technical assistance	Ongoing	Medium	ICPM and Secretariat
4.1.1 Maintain and update Phytosanitary Capacity Evaluation (PCE)	Ongoing	Medium	SPTA and Secretariat
4.1.2 Promote use of the PCE	Ongoing	Medium	Secretariat and Bureau
4.1.3 Identify and develop additional technical assistance tools	Ongoing	Medium	SPTA and Secretariat
4.2 Promote technical assistance	Ongoing	High	ICPM and Bureau
4.2.1 Increase the number of workshops and other activities to improve the understanding and application of international standards	Ongoing	High	Secretariat
4.2.2 Increase assistance for the establishment, revision and updating of national legislation	Ongoing	High	Secretariat
4.2.3 Establish a checklist on phytosanitary legal and associated institutional issues	In process	High	Secretariat
4.2.4 Establish a process to identify and rank priorities for the ICPM's activities in technical assistance	2004	Medium	ICPM
4.3 Provide information to help Members obtain technical assistance from donors	2003	High	Bureau and Secretariat
4.4 Promote the improvement and development of RPPOs	Ongoing	Medium	Members and the Secretariat
4.4.1 Assistance to RPPOs to establish information systems	Ongoing	Medium	Members and the Secretariat

Table 5. Strategic Direction No. 5: The maintenance of an effective and efficient administrative framework

Goals	Timing	Priority	Means
5.1 Establish planning, reporting and review mechanisms	2003	High	SPTA, Secretariat and ICPM
5.1.1 Provide a transparent budget	Ongoing	High	Secretariat
5.1.2 Increase Secretariat capacity through the use of FAO resources	Ongoing	High	ICPM, Bureau and Members
5.1.3 Review business plan annually	2002 and ongoing	High	Bureau and Secretariat
5.1.4 Establish internal planning, review and evaluation mechanisms	2003	High	SPTA
5.1.5 Report on activities of the Secretariat, including reporting by Secretariat on the implementation of the strategic plan	Ongoing	High	Secretariat
5.1.6 Regular updating of strategic plan and operational programme	Ongoing	High	SPTA and ICPM
5.2 Establish strategies for increasing resources available to the IPPC	Ongoing	High	SPTA and ICPM
5.3 Identify the relationship of the IPPC and its Secretariat in the context of FAO	Ongoing	Low	ICPM
5.4 Establish procedures to identify issues where common action of the ICPM required	Ongoing	Low	ICPM
5.5 Establish costing of all activities in Strategic Plan	2003	High	Secretariat

Table 6. Strategic Direction No. 6: Promotion of IPPC and cooperation with other international bodies

Goals	Timing	Priority	Means
6.1 Promote the IPPC	Ongoing	High	Members and Secretariat
6.1.1 Encourage Members to deposit their instrument of acceptance for the New Revised Text	Ongoing	High	Members and Secretariat
6.1.2 Encourage non-contracting parties to adopt the IPPC	Ongoing	High	Members and Secretariat
6.1.3 Communicate IPPC issues, obligations, processes and interests to all concerned, including other bodies with similar or overlapping interests	Ongoing	High	Secretariat
6.1.4 Request RPPOs to promote regionally the implementation of the IPPC	Ongoing	High	ICPM
6.2 Strengthen cooperation with other international organizations	Ongoing	High	Secretariat
6.2.1 Establish relations, identify areas of common interest, and where appropriate, develop coordinated activities and joint programmes with other relevant organizations including the CBD, OIE, Codex, WTO	Ongoing	High	Secretariat and Bureau
6.2.2 Strengthen cooperation and coordination with relevant organizations on technical assistance	Ongoing	Medium	ICPM and Secretariat
6.3 Establish linkages with research and education institutions to identify a plan of action for the provision of scientific and technical support for the IPPC	Ongoing	Medium	ICPM and Secretariat
6.3.1 Develop a plan of action for the provision of scientific and technical support for IPPC implementation	Ongoing	Medium	Bureau