



Australian Government

**Australian Quarantine
and Inspection Service**

Electronic Certification Case Study – Australia's eCert

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EXDOC PROCESSING

Exporter / Processor
Packer / Coldstore



Request Export Permit

EDI Message

Validations
**ESTABLISHMENT
REGISTER**



Validation Results

Authorisation
On Plant Inspector
Authorised Officer
Accredited Exporter



Pass all validations

Australian Customs



Export Permits

eCert
Direct to Importing Country

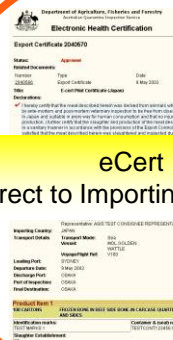
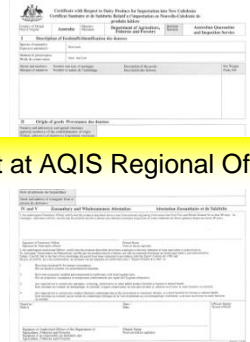
Print at AQIS Regional Office

Print file sent to exporter

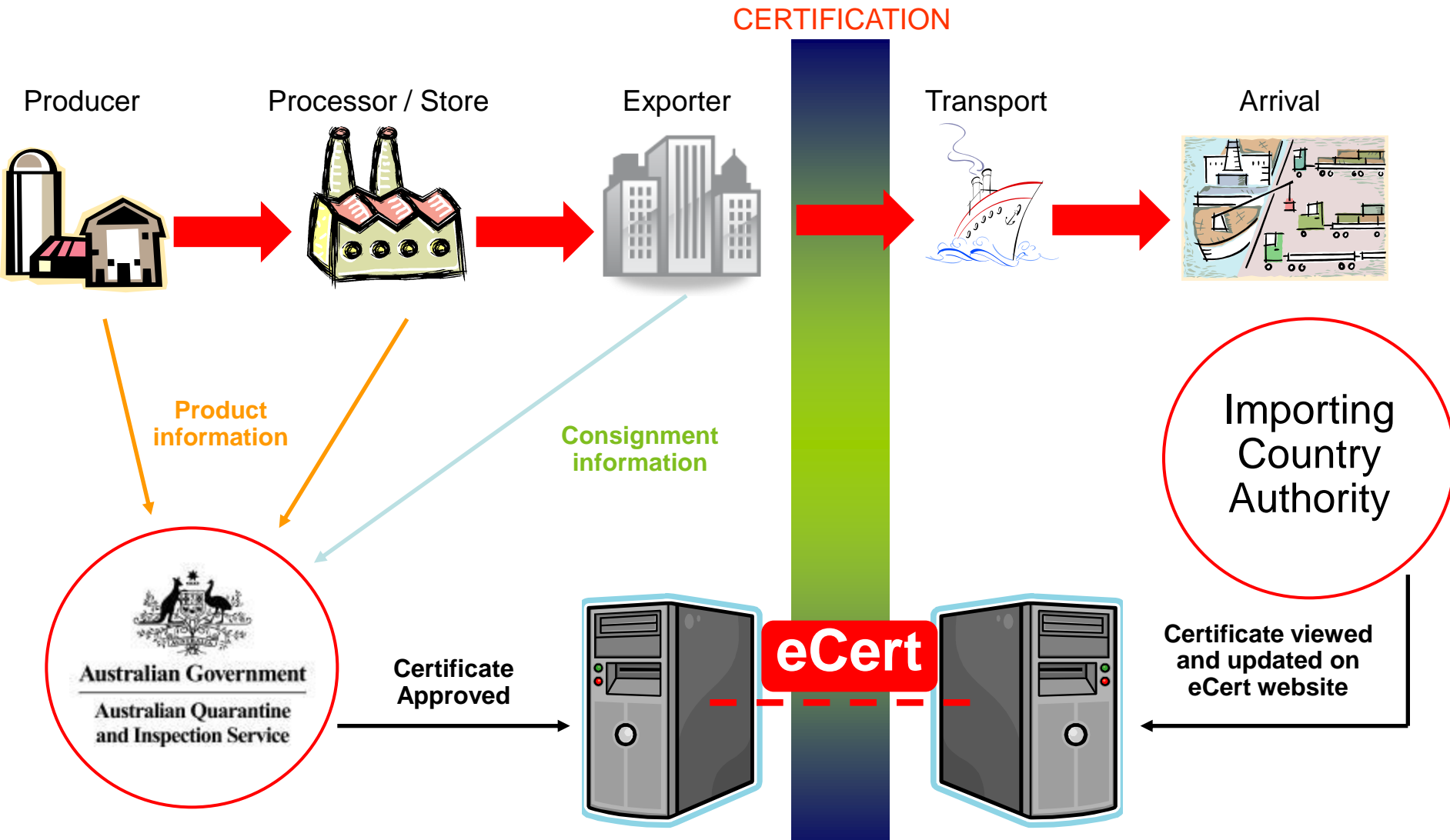
June 2009

PowerPoint Template

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Business Model



SYSTEM DESIGN

- eCert Data Specification adapted to AQIS' existing export documentation system (EXDOC)
- AQIS' eCert development covers certification for
 - HORTICULTURE
 - GRAINS
 - MEAT
 - DAIRY
 - FISH
 - WOOL
 - SKINS AND HIDES
 - INEDIBLE MEATS
 - EGGS

DESIGN PROCESS

- Harmonised requirements with NZFSA
- Developed System
 - XML
 - Web View
 - Exchange Protocols (push/pull)
- Sought recognition of standard at UN/CEFACT
- Trials with Canada, Singapore and USA to test concept
 - Enhanced system

ELECTRONIC CERTIFICATION

3 options to access eCert

SMTP (Secure Mail Transfer Protocol)

- The import system would check its Inbox every day. Emails are secured using public key encryption (a pair of public and private keys).

Simple Object Access Protocol (SOAP) interface Import officials can do a batch download using this interface. User logon and password is required.

eCert website

- Use the Search screen to find certificates and manually download them. User logon and password is required.

WEBVIEW

- Access to eCert is controlled by logon and password
 - Each government officer who requires access to the system must submit an application form to AQIS.
- Logons can be tailored to the level of access required by each individual officer
 - access to download certificates will be automatically given unless otherwise requested.
- Certificates downloaded from the eCert website are in XML
 - each certificate contains about 10K of data.

WEBSITE VIEW

Web View Access

Participants view the certificate data over the web and assess impact on current systems and clearance activities.

USA

Jordan

Hong Kong


Cyprus

Germany

Norway

Singapore

Spain

| | |
|--|--|
|  | Department of Agriculture, Fisheries and Forestry Australian Quarantine Inspection Service |
| Electronic Health Certification | |
| Export Certificate 2040570 | |
| Status: Approved | |
| Related Documents: | |
| Number <u>2040566</u> | Type Export Certificate |
| Date 9 May 2003 | Purpose Replaced by |
| Title: E-cert Pilot Certificate (Japan) | |
| Declarations: | |
| <input checked="" type="checkbox"/> I hereby certify that the meat described herein was derived from animals which have been examined and found by ante-mortem and post-mortem veterinary inspection to be free from diseases designated by Laws concerned in Japan and suitable in every way for human consumption and that no injurious ingredient has been used in its production. I further certify that the slaughter and production of the meat described herein has been carried out in a sanitary manner in accordance with the provisions of the Export Control Act and that, after due inquiry I am satisfied that the meat described herein was slaughtered and inspected during MAY 2003 | |
| <input checked="" type="checkbox"/> This product is derived from animals slaughtered after 14 November 1994 and has been controlled under the Australian Government's chlortetracycline program. | |
| Certified by: Ann McDonald Bvsc, Authorised Officer, 9 May 2003 | |
| Certifying Location: CANBERRA | |
| Exporting Country: Australia | |
| Competent Authority: Department of Agriculture, Fisheries and Forestry | |
| Consignor: AQIS TEST EXPORTER RECORD PHYSICAL ADDRESS LINE 1, PHYSICAL ADDRESS LINE 2, PHYSICAL ADDRESS LINE 3, TEST CITY NSW 99999, Australia | |
| Consignee: AQIS TEST CONSIGNEE CONSIGNEE ADDRESS 1, CONSIGNEE ADDRESS 2, CONSIGNEE CITY CONSIGNEE STATE 99999, JAPAN Representative: AQIS TEST CONSIGNEE REPRESENTATIVE | |
| Importing Country: JAPAN | |
| Transport Details | |
| Transport Mode: | Sea |
| Vessel: | MOL GOLDEN WATTLE V100 |
| Voyage/Flight Ref: | V100 |
| Loading Port: | SYDNEY |
| Departure Date: | 9 May 2003 |
| Discharge Port: | OSAKA |
| Port of Inspection: | OSAKA |
| Final Destination: | OSAKA |
| Product Item 1 | |
| 100 CARTONS | FROZEN BONE IN BEEF SIDE BONE IN CARCASE QUARTERS AND SIDES |
| Net: 1000.000 KGM | |
| Identification marks: TEST MARKS 1 | Container & (seal) numbers: TESTCONT123456 /1 23456 |
| Slaughter Establishment: 77 | |

Secure Website Access

- Provides manual access to E-cert data
- Benefit to low volume import authorities
- Benefit to de-centralised import clearance
- Export certification can be viewed and verified
- Export Certification can be downloaded
- Requires internet access and logon/password to enter

Export System to Import System Download

Data is downloaded into the import clearance system.

WHO

China

Japan

Canada

Hong Kong

USA

```
- <?xml version="1.0" encoding="UTF-8" ?>
-- <certificates>
-- <certificate no="2040670" status="Approved">
-- <exporting_country country_code="AU">
-- <country_name>Australia</country_name>
-- <competent_authority>Department of Agriculture, Fisheries and
Forestry</competent_authority>
-- </exporting_country>
-- <importing_country country_code="ID">
-- <country_name>INDONESIA</country_name>
-- </importing_country>
-- <title>
-- <text language="EN">PHYTOSANITARY CERTIFICATE</text>
-- </title>
-- <exporter id="99999">
-- <name>A AQIS 5 TEST EXPORTER</name>
-- <address>EDMUND BARTON BUILDING A, BROUGHTON STREET A, BARTON A,
CANBERRA ACT 2600, Australia</address>
-- </exporter>
-- <consignee>
-- <name>TO ORDER - INDONESIA</name>
-- <address>., ., INDONESIA</address>
-- </consignee>
-- <final_destination>JAKARTA/SURUBAYA</final_destination>
-- <inspection_port country_code="ID" city_code="IDJKT">TANJUNG PRIOK/JAKARTA,
JAVA</inspection_port>
-- <transport means="Sea">
-- <vessel>BALTRUM TRADER</vessel>
-- <conveyance_ref>841</conveyance_ref>
-- </transport>
```

SOAP INTERFACE

PULL DATA

- Provides automated access to E-cert data
- Easily adaptable to centralised or decentralised import clearance
- Import authority may collect XML data as needed
- Data collected from secure web service
- Requires logon/password to collect data

S/MIME INTERFACE

PUSH DATA

- Provides complete automation for data exchange
- Suited to high volume import authorities
- Suited to centralised import clearance process
- Secured by S/MIME Protocols for digital signature and encryption
- Certification is sent immediately to importing country

WEB SCREEN SECURITY

Security

- Secure Hypertext Transfer Protocol (HTTPS)
- 128 bit encryption between Web browser and Web server
- Login and Password authentication
- <https://www.aqis.gov.au/ecert>

ENHANCEMENTS

- Country/Product Specific Code Sets
To identify product within systems to assist automated checks
- Version control
To enable the use of different versions of eCert
- Development of message to comply with UN/CEFACT standard

SUPPORTING DOCUMENTATION

- UNCEFACT Standard
 - Business Requirements Specification
 - Requirement Specification Mapping
 - XML Schema
 - User Guide
- Design Documentation
 - Interface Guidelines
 - Transfer Protocols
- Technical Training
 - Face to face sessions on system design and process
- Direct Contact



Australian Government

**Australian Quarantine
and Inspection Service**

Thank you

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