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Implementation Review and Support System Surveys: Overview of Work and Next Steps

Agenda item 13.1

Prepared by the IPPC Secretariat

1. As part of the implementation review and support system (IRSS) activities, the IPPC Secretariat conducted surveys between September 2011 and December 2012 on the implementation of the following four standards¹:

- ISPM 4:1995 Requirements for the establishment of pest free areas
- ISPM 6:1997 Guidelines for surveillance
- ISPM 8:1998 Determination of pest status in an area
- ISPM 13:2001 Guidelines for the notification of non-compliance and emergency action

2. The surveys were used to gather information on implementation of the standards, including challenges and best practices. Each survey was sent to the contact points of all contracting parties as well as to the FAO regional plant protection officers and Regional Plant Protection Organizations. The issues and follow-up actions outlined in this paper were derived from the results detailed in reports on each of these surveys².

3. The Secretariat expresses thanks to the contracting parties that responded to the surveys. This information is extremely valuable for identifying successes, challenges and opportunities for improving implementation of the IPPC and ultimately for preventing the introduction and spread of pests. In the brief period of the IRSS' work so far, these surveys have already proven useful to target capacity building and other work to address specific gaps that contracting parties have identified.

¹ A full report on IRSS activities undertaken in 2012 is presented in CPM 2013/20.

² The survey reports will be available on the IRSS page on the IPP.

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Additional surveys will be conducted in the future and the Secretariat encourages contracting parties to invest time into robust responses.

4. The IPPC Secretariat and the Capacity Development Committee (CDC) suggest future actions based on the conclusions from the survey results. Some of the issues and actions suggested for follow up on the analyses of ISPM 4:1995 (*Requirements for the establishment of pest free areas*), ISPM 6:1997 (*Guidelines for surveillance*), ISPM 8:1998 (*Determination of pest status in an area*) and ISPM 13 (*Guidelines for the notification of non-compliance and emergency action*) are summarized in Table 1. Issues relating to four of the ISPMs were cross cutting and are therefore presented together. The specific aspects of each of these issues are detailed in the specific reports of each survey.

<u>Table 1</u>: Issues and actions suggested by the Capacity Development Committee (CDC) of the IPPC for prioritization based on outcomes of IRSS studies on ISPMs

ISPM	Issues	Priority activities
ISPM 4:1995 (Requirements for the establishment of pest free areas) ISPM 6:1997 (Guidelines for surveillance)	Enabling policy and legislative environment Awareness raising for (i) policy and budgetary support (ii) strengthened relationships with other stakeholders such as private sector and academic partners Human resource capacity Information, communication and technology systems and infrastructure (including access to information held by other organizations)	Support and guide countries in programmes that contribute to performance of functions in the areas needed for sustaining good practices in the implementation of the three standards particularly relating to the following core areas: (i) data storage & retrieval systems (ii) operational manuals (iii) training and competency development to conduct surveillance, its application in determining pest status of an area and establishing pest free areas (iv) advocacy and (v) mobilize resources and secure budgetary support.
ISPM 8:1998 (Determination of pest status in an area)	Operational infrastructure & supporting services/stakeholders Budgetary support and mechanisms to ensure sustainability Trade and environment considerations e.g. relating to market access opportunities	Develop model phytosanitary policy to guide countries in developing appropriate institutions. Design advocacy materials aimed at enhancing awareness and securing financial resources to enable countries to conduct surveillance, determine pest status of an area, and establish pest free areas.
	Coordination, communication, and shared resource use for cost effectiveness	Design framework for institutional cooperation and communication as well as coordination mechanisms for the conduct of surveillance and related work e.g. usage/access to relevant databases and pest information. Prepare guidance documents on priority f resources
		needed for surveillance, determination of pests' status of an area and establishment of pest free areas (equipment, materials, supplies, etc).
ISPM 13:2001 (Guidelines for the notification of non- compliance and emergency action)	Human resource capacity (competency and knowledge) to handle issues of notifications Coordination and communication on notifications (among stakeholders and between countries) Operational infrastructure and information management systems	Provide guidance in the development of a cost- effective mechanism to be posted on the phytosanitary resources page or IRSS Helpdesk with secured list of the notifying and the notified countries presenting specific issues of concern as a resource for any actions that may be necessary under dispute settlement or trade negotiations.
	Resource for use by the SBDS	Provide guidance in the development and encourage the use of a consistent notification format, posted on the phytosanitary resources page, for harmonized use by countries.
		Develop a mechanism for coordination and communication on notification issues among national stakeholders and between trading partners.
		Develop guidance instruments e.g. operational manuals on policy, institutional and operational requirements for use by diverse stakeholders in handling notifications and related trade issues.
		Design training programmes on aspects of notification (including processes and procedures; the benefits and potential adverse impacts; etc).